Derivation and Validation of a Risk Standardization Model for Benchmarking Hospital Performance for Health-Related Quality of Life Outcomes After Acute Myocardial Infarction

Suzanne V. Arnold, MD, MHA; Frederick A. Masoudi, MD, MSPH; John S. Rumsfeld, MD, PhD; Yan Li, PhD; Philip G. Jones, MS; John A. Spertus, MD, MPH

Background—Before outcomes-based measures of quality can be used to compare and improve care, they must be risk-standardized to account for variations in patient characteristics. Despite the importance of health-related quality of life (HRQL) outcomes among patients with acute myocardial infarction (AMI), no risk-standardized models have been developed.

Methods and Results—We assessed disease-specific HRQL using the Seattle Angina Questionnaire at baseline and 1 year later in 2,693 unselected AMI patients from 24 hospitals enrolled in the Translational Research Investigating Underlying disparities in acute Myocardial infarction Patients’ Health status (TRIUMPH) registry. Using 57 candidate sociodemographic, economic, and clinical variables present on admission, we developed a parsimonious, hierarchical linear regression model to predict HRQL. Eleven variables were independently associated with poor HRQL after AMI, including younger age, previous coronary artery bypass graft surgery, depressive symptoms, and financial difficulties (R²=20%). The model demonstrated excellent internal calibration and reasonable calibration in an independent sample of 1,890 AMI patients in a separate registry, although the model slightly overpredicted HRQL scores in the higher deciles. Among the 24 TRIUMPH hospitals, 1-year unadjusted HRQL scores ranged from 67–89. After risk-standardization, HRQL score variability narrowed substantially (range=79–83), and the group of hospital performance (bottom 20%/middle 60%/top 20%) changed in 14 of the 24 hospitals (58% reclassification with risk-standardization).

Conclusions—In this predictive model for HRQL after AMI, we identified risk factors, including economic and psychological characteristics, associated with HRQL outcomes. Adjusting for these factors substantially altered the rankings of hospitals as compared with unadjusted comparisons. Using this model to compare risk-standardized HRQL outcomes across hospitals may identify processes of care that maximize this important patient-centered outcome. (Circulation. 2014;129:313-320.)

Key Words: myocardial infarction • quality of life • risk factors

Whereas current performance measures evaluate the processes of care, calls are increasing for the use of outcomes to assess healthcare quality.1-3 Before using patients’ outcomes as a means of assessing, comparing, and improving quality, it is important to develop models that account for differences in patient characteristics that are present before the delivery of care, so that hospitals are judged on the care that they deliver, rather than the types of patients that they treat.1 Risk-standardized outcomes models for quality reporting exist for mortality4-5 and readmission6 in the setting of acute myocardial infarction (AMI), but none have been developed for patients’ health-related quality of life (HRQL)—despite its primary importance to patients.7-8

Clinical Perspective on p 320

In the setting of AMI, evaluating and comparing HRQL may be particularly useful because several interventions improve this outcome, but not necessarily mortality or readmission, such as revascularization,9 cardiac rehabilitation,10 and disease management.11 A model predicting HRQL could be used as a foundation to compare the impact of alternative institutional approaches to AMI management on patients’ HRQL and may support the sharing of best practices and dissemination of more cost-effective care.

To address the need for a model to risk-standardize HRQL outcomes, we used the Translational Research Investigating Underlying disparities in acute Myocardial infarction Patients’ Health status (TRIUMPH) study of >4000 AMI patients from 24 U.S. hospitals to build and validate a risk-stratification model.
model for 1-year post-AMI HRQL, based on patient demographics, psychosocial factors, comorbidities, and disease severity variables. We then sought to externally validate the model in a unique cohort of AMI patients.

Methods

Population and Protocol for Model Development

Details regarding the study design, patient selection, site characteristics, and follow-up assessments of the TRIUMPH study have been previously published.12 Briefly, 4340 patients from 24 U.S. hospitals were enrolled into the TRIUMPH study (2005–2008). Patients were required to have biomarker evidence of myocardial necrosis and additional clinical evidence supporting the diagnosis of AMI, including prolonged ischemic signs/symptoms or electrocardiographic changes during the initial 24 hours of admission. Patients not presenting to an enrolling institution were eligible if transferred within 24 hours of presentation to ensure that the primary treatment decisions were made by the enrolling hospital. Baseline data were obtained through chart abstraction and a detailed structured interview by trained research staff between 24 and 72 hours of admission.

Follow-up health status data were obtained 1 year after AMI with a standardized telephone interview performed by a central, specialized follow-up center. Only patients who had both baseline and 1-year assessments were included for this study. Each participating hospital obtained Institutional Research Board approval, and all patients provided written informed consent.

Assessment of HRQL

Disease-specific health status was measured with the Seattle Angina Questionnaire (SAQ), a 19-item self-administered questionnaire that measures 5 dimensions of health in patients with coronary artery disease.13,14 Domain scores range from 0 to 100, with higher scores indicating less disease burden. For this study, we focused on the disease-specific HRQL domain of the SAQ, which quantifies how the patient perceives that coronary artery disease has impacted his or her HRQL over the preceding 4 weeks.14 The SAQ has undergone extensive reliability and validity testing13,14 and lower scores in the HRQL domain are associated with increased mortality and cardiac hospitalizations.15,17

Model Development

One-year SAQ HRQL scores were non-normal and left-skewed (Figure I in the online-only Data Supplement). We considered 3 candidate approaches for modeling data with heterogeneous conditional distributions: ordinary least squares, Tobit, and median regression. We compared the absolute prediction errors of the models using a random sample of ½ of the dataset with the remaining ½ of the dataset. As each model resulted in similar absolute prediction errors and coefficient estimates, we chose ordinary least squares linear regression due to its ease of analysis and interpretation.

Variables for the HRQL prediction model were selected from 57 candidate variables (Table 1) among 8 larger conceptual domains expected to potentially impact HRQL (Figure II in the online-only Data Supplement): demographics, psychosocial, economics, lifestyle, comorbidities, health status at time of AMI, clinical status at presentation, and admission medications. Because this model was designed to support evaluations of hospital quality, the model was built exclusively with patient-specific characteristics available within 24 hours of admission. Excluding treatments that could influence long-term HRQL outcomes (e.g. revascularization) may reduce the predictive accuracy of the model. However, it is necessary to exclude those processes of care that are specific to particular hospitals to be able to use the model for quality assessment and risk-standardization to compare hospitals and their choice of treatment strategies.

Baseline data had a high rate of completion, with an average of 0.76 missing data items per patient. Missing baseline data were imputed using a multiple imputation dataset. The Harrell backward selection strategy was used to select a parsimonious set of variables for the final model,14 which supported inclusion of only those variables that provided incremental prognostic value, avoided overfitting, and maximized the feasibility of future data collection to support quality metrics based on patients’ HRQL. To accomplish this, the contribution of each significant predictor in the multivariable model was ranked by F value, and variables with the smallest contribution to the model were sequentially eliminated. This iterative process continued until further variable elimination led to a >10% loss in model prediction, as compared with the initial fully adjusted model. The remaining covariates comprised the reduced model and explained >90% of the variance of the complete model. All models were hierarchical with site included as a random effect to account for the clustering of patients within site, and nonlinear spline terms were considered for all continuous variables. Predicted SAQ HRQL scores were plotted against observed scores, and the regression line was compared against the line of equality, which would indicate perfect calibration (intercept=0 and slope=1). Model performance was also evaluated with R².

Model Validation

After the prediction model was created in TRIUMPH, the model was externally validated using the Prospective Registry Evaluating Myocardial Infarction: Event and Recovery (PREMIER) study.12 PREMIER (2003–2004) was a prospective cohort study of 2498 AMI patients from 19 U.S. hospitals. TRIUMPH and PREMIER were similarly designed AMI studies, with identical inclusion and exclusion criteria, identical HRQL outcomes collected at the same follow-up time points, nearly identical baseline covariates, and some overlap in participating sites (12 of the 24 TRIUMPH hospitals also participated in PREMIER). When we used the coefficient estimates from the TRIUMPH prediction model to calculate the predicted SAQ HRQL scores in PREMIER, the intercept for the model was recentered using the global mean for follow-up SAQ HRQL scores for PREMIER, congruent with approaches used to risk-standardize 30-day outcomes by the Center for Medicare and Medicaid Services.19,20 Predicted SAQ HRQL scores were plotted against observed scores for PREMIER, and the regression line was compared against the line of equality (intercept=0 and slope=1).

One difference between the 2 studies was the collection of the Perceived Stress Scale (PSS), which was assessed in TRIUMPH at all time points but only assessed in PREMIER at follow-up. Baseline PSS scores for PREMIER patients were imputed by constructing an imputation model in TRIUMPH that included all baseline clinical and health status variables and 1-month PSS scores. The R² of this model for predicting baseline PSS scores in TRIUMPH was 51%. As a sensitivity analysis, we recalibrated the model within TRIUMPH excluding the PSS and then tested the revised model in PREMIER. The model performed well, with little change in the internal or external calibration (Figures III and IV in the online-only Data Supplement).

Risk-Standardized Rates and Hospital Variation

The hierarchical linear regression model was used to estimate hospital-level risk-standardized HRQL within TRIUMPH using a similar approach as has been used to risk-standardize mortality and rehospitalization rates.6,20 Importantly, this approach takes into account the hierarchical structure of the data to account for patient clustering within hospitals. Risk-standardized HRQL was calculated as the difference of the predicted HRQL (HRQL predicted on the basis of the hospital’s performance with its observed case mix) and the expected HRQL (HRQL expected on the basis of performance of the average hospital with this hospital’s case mix), added to the overall mean HRQL for the whole study population. Finally, we ranked the TRIUMPH hospitals by their unadjusted and risk-standardized HRQL and examined the agreement between these rankings. Based on previous work, we grouped the sites into 3 categories: top 20%, middle 60%, and bottom 20%, and compared the groupings before and after risk-standardization of the HRQL scores.21
All analyses were conducted using SAS v9.2 (SAS Institute Inc, Cary, NC) and IVEware (Institute for Social Research, Ann Arbor, MI).

**Results**

**Analytic Population**

Among the 4316 patients enrolled in TRIUMPH who were discharged alive, 2693 had both baseline and 1-year follow-up assessments of HRQL and were thus included in our analyses. Exclusion was attributable to death (n=268; 6.2%), interview refusal (n=258; 5.9%), incomplete interview (n=63; 1.5%), and an inability to contact the participant (n=1058; 24.4%). Whereas unadjusted baseline HRQL scores were lower for those alive but missing follow-up assessments (missing versus not missing: 62.0 versus 64.3, \(P=0.004\)), HRQL scores were not associated with missing follow-up in a multivariable logistic model (\(P=0.48\)). In addition, we performed a sensitivity analysis including all patients with at least 1 follow-up HRQL assessment (n=3612) and used the last known HRQL score for the 1-year HRQL outcome. There was little change in the calibration of the model in the expanded analytic sample, although there was some slight overprediction of HRQL compared with the original model (Figure V in the online-only Data Supplement), as might be expected if patients’ HRQL continues to improve over time.

Of the 2481 patients in PREMIER who were discharged alive, 1890 had both baseline and 1-year follow-up HRQL assessments and were included in the model validation. Table 2 lists the baseline characteristics of the patients in TRIUMPH and PREMIER who were included in our analyses. Study participants were similar between the 2 studies, although TRIUMPH study participants were slightly younger than PREMIER study participants (59 versus 63 years) and were more likely to be of non-white race (36% versus 29%). SAQ HRQL scores were systematically higher in the PREMIER population than in TRIUMPH (mean: 84.7 versus 81.7), which may be attributable to small differences in the patient populations.

**Model Creation**

The variables associated with post-AMI HRQL in the final reduced model are shown in Figure 1. According to \(F\) values,
age had the strongest magnitude of association with post-AMI HRQL, with older patients reporting, on average, 7- to 8-point higher SAQ HRQL scores 1 year after AMI than patients under 50 years of age (ie, better HRQL). Patients with a previous bypass surgery reported lower follow-up HRQL than those without previous bypass surgery (mean difference, −6.0; 95% confidence interval [CI], −7.9 to −3.3). Depressive symptoms, financial difficulties, and female sex were also strongly associated with poorer 1-year HRQL than those without previous bypass surgery (mean difference, −6.0; 95% confidence interval [CI], −7.9 to −3.3). Comparing the unadjusted versus risk-standardized rankings of the 24 hospitals in the analysis, the median absolute change in rank position was 4 places (range 1–9). The 20%/60%/20% rankings differed in category for 14 of the 24 hospitals (58.3%).

**Hospital-Specific Risk-Standardized HRQL and Variability**

The 1-year, unadjusted and risk-standardized HRQL outcomes, by site, are shown in Figure 3A and 3B. The range of unadjusted HRQL outcomes across the 24 hospitals in our sample was 67.4–89.5. After risk-standardization, the median risk-standardized HRQL was 81.7 and the range was much more narrow (79.5–83.3). Comparing the unadjusted versus risk-standardized rankings of the 24 hospitals in the analysis, the median absolute change in rank position was 4 places (range 1–9). The 20%/60%/20% rankings differed in category for 14 of the 24 hospitals (58.3%).

### Discussion

To our knowledge, this study represents the first development and validation of a model to risk-standardize 1-year HRQL outcomes after an AMI, which could permit comparisons of hospital performance (eg, quality assessment) based on patients’ 1-year quality of life and the sharing of best practices to elevate healthcare quality. Because we identified many factors associated with HRQL that are not modifiable by the hospitals (eg, socioeconomic status, age), adjusting for these factors is critically important for fairly comparing HRQL outcomes across hospitals as risk-standardization significantly altered the apparent differences in hospitals in optimizing their patients’ HRQL. Anticipating the value of such assessments, site variation in post-MI mortality has been described and used to identify high-level performers (ie, those with mortality rates better than what was predicted), whose processes of care can be shared with lower-performing facilities. However, the absence of models to appropriately risk-standardize HRQL outcomes has prevented such comparisons for health status outcomes, despite their importance to patients.

Better hospital performance in AMI care should be judged not only on mortality but also on other outcomes of importance to patients and society. In so doing, a more complete assessment of a hospital’s care can be acquired from assessing the outcomes of all survivors, and not just the minority who die. As the Centers for Medicare and Medicaid Services attempt to define and implement value-based purchasing, the need to expand our assessment of healthcare quality to include measures of quality of life has become more apparent. In a recent perspective, VanLare and Conway emphasized that patient-centered outcomes must be included as
core measures in value-based purchasing, although these measures are currently lacking. Although having access to instruments that reliably and validly assess patients’ HRQL is necessary to including HRQL as an outcome measure for evaluating the quality of care, being able to risk-standardize these outcomes is also critical before their implementation as quality metrics.

Importantly, our model explained ≈20% of the variation in HRQL outcomes, which indicates that much of the variation in HRQL outcomes is not explained by patient factors. This leaves open the possibility that the remaining variation could be explained, instead, by the hospitals’ processes of care. For example, one would expect that treatments during and after the AMI, such as early revascularization, smoking cessation, and cardiac rehabilitation, would influence 1-year HRQL.9–11 Because this model includes only patient factors present on admission, it can balance differences in patient populations so that hospitals may be fairly compared, independent of their case mix. Although a model based only on patient-level factors that explains 100% of the variation in HRQL outcomes would be ideal for identifying high-risk patients, such a model would not have value in the assessment of healthcare quality, because one can examine site-level variability, identify better-performing hospitals, and ultimately, determine the processes of care that improve the outcomes of patients after AMI.

HRQL is of clear importance to patients,7,8 and we present a mechanism for risk-standardizing this outcome so that hospitals can be fairly compared. However, before systematically comparing the HRQL outcomes of hospitals after an AMI, novel data collection strategies will be needed to acquire the patient-centered, socioeconomic, and psychological characteristics that we identified as important when risk-standardizing HRQL outcomes. If we are committed, as a society, to measuring outcomes that are important to patients, then our current data collection systems need to include novel data elements, such as depression scores, perceived stress scores, and socioeconomic characteristics. In an era of increasing use of electronic medical records—as advanced by the incentives of meaningful use—we can create new core elements that are routinely collected on admission so that these important patient-centered outcomes can be used to compare and improve care.

Previous Studies
We are unaware of any previously published risk-standardization models for HRQL after an AMI that allow for site-level analyses and identification of better-and worse-performing hospitals. Previous studies that investigated the association of individual factors with worse HRQL after an AMI, such as younger age,27 black race,28 and depressive symptoms,29,30 provided important information about which covariates would be important for us to include as candidate predictors. We were able to confirm the importance of these factors in our model. A few studies have attempted to identify a set of factors associated with generic HRQL after AMI.31,32 However, these studies sought to identify characteristics that would make a patient high-risk for poor HRQL outcomes. Although our model could also be adapted for this purpose, it also has the ability to support risk-standardization of HRQL outcomes across hospitals so that one can examine site-level variability, identify better-performing hospitals, and ultimately, determine the processes of care that improve the outcomes of patients after AMI.

Limitations
There are several potential limitations to the current study to consider when interpreting our results. First, although
TRIUMPH included rural, suburban, and urban hospitals across the United States and participants represented a broad range of socioeconomic and demographic characteristics, the relatively small number of hospitals in our derivation and validation samples provided a limited number of centers with which to examine variability across centers. Accordingly, some of the narrowing of the range of risk-adjusted HRQL was attributable to shrinkage correction for hospital sample sizes, which would not be an issue in a larger dataset. However, a unique strength of this study was the ability to externally validate the model in a separate dataset, in which the model showed excellent calibration, increasing our confidence in its generalizability. Second, to be included in this model, patients had to survive at least 1 year. Thus, this model should be used in conjunction with mortality prediction models so that both outcomes can be integrated when evaluating quality and educating patients’ about their prognosis and the benefits of treatments. Finally, we are using the hospital that provided the initial care as the unit of analysis for HRQL 1 year later, after which additional care (both in the inpatient and outpatient settings) will have occurred that could influence HRQL. Although attribution might be challenging outside of integrated systems (eg, Kaiser Permanente), we believe that this remains a robust model that can form the basis of comparing institutional outcomes and can incentivize poorer performing hospitals to improve their transitions in care after hospital discharge.

Conclusions
Using a multi-site cohort study with a broad spectrum of AMI patients, we developed and validated a prediction model for long-term HRQL. We identified factors associated with HRQL, as compared with mortality or readmissions, such as younger age, psychosocial stress, and socioeconomic status. This model fills an important gap in the use of patient-reported outcomes as markers of healthcare quality by providing a risk-standardization model that can account for patient characteristics before hospital presentation. As such, it can facilitate analyses of site-level variation in risk-standardized HRQL outcomes both as a measure of healthcare quality and as a means for identifying hospitals that use processes of care that maximize patients’ HRQL. Identifying such hospitals can enable their strategies to be disseminated to other hospitals.

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Disclosures
Dr Spertus owns the copyright to the Seattle Angina Questionnaire. The other authors report no conflicts.

References
20. Moys possessing the copyright to the Seattle Angina Questionnaire. The other authors report no conflicts.

Arnold et al. Predicting HRQL After an AMI

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To use patients’ outcomes to assess, compare, and improve the quality of care of patients with acute myocardial infarction (AMI), these outcomes must be risk-standardized to account for differences in patient characteristics. This is a critical step in comparing outcomes between hospitals, because it ensures that hospitals are judged on the care they deliver and not on the type of patients they treat. Given the importance of health-related quality of life (HRQL) outcomes among AMI patients, we developed a risk-standardization model for patients’ long-term HRQL after AMI. The model was able to explain 20% of the variation in HRQL outcomes, indicating that much of the variation is not explained by patient factors. This may indicate that the remaining variation in HRQL could be explained by the hospitals’ processes of care—such as early revascularization, smoking cessation, and cardiac rehabilitation. Importantly, we validated the model in an independent sample of AMI patients from a separate multicenter registry. This model fills an important gap in the use of patient-reported outcomes as markers of healthcare quality by providing a risk-standardization model that can account for patient characteristics. As such, it can facilitate a means for identifying hospitals that use processes of care that maximize patients’ HRQL, and in so doing, their strategies can then be disseminated to other hospitals.
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SUPPLEMENTAL MATERIAL
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<td>$M_{SAQHRQL_1Y}$</td>
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<td>$+ 7.2279*(0 - P_Age5069) + 10.9560*(0 - P_Age70Plus)$ if age &lt; 50</td>
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<tr>
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where

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*If the patient case-mix is similar to TRIUMPH or if risk-standardization is needed for relative comparisons of outcomes between sites or providers, these values can be used to calculate expected SAQ HRQL. However, if absolute comparisons of risk-standardized outcomes are needed and the patient case-mix is significantly different from those patients enrolled in TRIUMPH, the model should be recalibrated using the proportions and means of that specific patient population.
Figure 1. Distribution of 1-year SAQ HRQL scores in TRIUMPH (a) and PREMIER (b).

A.

Mean 81.7; Median 91.7 (IQR 75-100)

B.

Mean 84.7; Median 91.7 (83.3-100)
Figure 2. Conceptual Model of Factors that Potentially Affect Health-Related Quality of Life Outcomes After AMI
Figure 3. Calibration of HRQL Model without Perceived Stress Scale in TRIUMPH

Observed values = -1.70 + 1.02*Predicted values, $R^2=98\%$; $p<0.0001$; correlation=0.99
Figure 4. Calibration of HRQL Model without Perceived Stress Scale in PREMIER

Observed values = 13.38 + 0.83*Predicted values, $R^2=99\%$; $p<0.0001$; correlation=0.99
Figure 5. Calibration of HRQL Model Including All Patients With At-Least One Follow-up Assessment

Observed values = 4.37 + 0.94*Predicted values, $R^2 = 0.99$; correlation = 0.99; p < 0.0001