Implantable Cardioverter-Defibrillators for Primary Prevention of Sudden Cardiac Death

Too Little and Too Late?

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The implantable cardioverter-defibrillator (ICD) has been one of the most significant advances made in sudden cardiac death prevention. Landmark randomized clinical trials convincingly demonstrated survival benefits of ICD therapy in patients 40 days after myocardial infarction with left ventricular ejection fraction (LVEF) of <30%1 and in patients with stable New York Heart Association Class II-III congestive heart failure and LVEF of <35%2, and subsequent observational studies have confirmed these mortality benefits.3 Results from clinical trials were rapidly incorporated into guidelines,4,5 and the Centers for Medicaid and Medicare Services approved both indications for primary prevention ICDs by 2005. At the same time, the Centers for Medicaid and Medicare mandated that data on all patients receiving ICDs be entered into a national registry to track use. Approximately 10 years later, what progress have we made with respect to allocating and disseminating this life-saving technology, and what additional impact can be made with this technology on mortality attributable to sudden cardiac death? The article by Narayanan et al6 in this issue of Circulation highlights these questions.

The authors examined the proportion of sudden cardiac arrest (SCA) victims in the Portland, Oregon metropolitan area who had an ICD implanted for primary prevention before their cardiac arrest from 2003 to 2012. Among individuals in which echocardiogram results were available, only a minority (13%) of victims who met contemporary guidelines for prophylactic ICD implantation had received an ICD. This rate of ICD use is much lower than that for patients enrolled in heart failure registries, in which use rates range from 35% in the inpatient7 to 51% in the outpatient8 settings. Because these registries were specifically designed to evaluate and disseminate the use of medical therapies among heart failure patients, these use rates probably represent a best-case scenario. Does the present population-based study provide us with a more "real-world" assessment of underuse of ICDs in the community? A couple of issues need to be considered when attempting to place these data into context relevant to the general population and previous registry data.

First, the present study only includes those who died or required resuscitation from SCA. We do not know the prevalence of ICDs among the much larger pool of patients with systolic dysfunction in the Portland metropolitan area who did not suffer an SCA during the study period. Based on the effectiveness of the ICD in preventing arrhythmic death, patients with an ICD would be expected to be less likely to suffer a SCA and end up in the study case group. If one extrapolates hazard ratios for arrhythmic death from the ICD arms in randomized trials (hazard ratios of 0.33–0.44),9,10 the percentage of patients with ICDs would be ~2.5 to 3 times higher in the general population with systolic dysfunction, bringing the use numbers closer to the 50% found in the registry studies. Second, guidelines specify that ICDs are not warranted for subjects who have comorbidities or psychiatric illnesses that are likely to limit survival with an acceptable functional status to <1 year or prevent compliance with device follow-up.1 It is difficult to assess the presence of these factors from retrospective review of medical records. Indeed, SCA victims without ICDs in this study were older and thus more at risk for comorbidities. There was also a significant burden of psychiatric illness among those without ICDs. Retrospective determination of New York Heart Association class, a critical component of eligibility criteria, is also difficult and subject to error. Therefore, there are likely some patients who were considered eligible based on the limited data available to the study investigators, but who in reality should not have received an ICD. Despite these limitations, the present study suggests that an important number of eligible patients are not receiving ICDs and some go on to suffer an SCA, which might have been prevented by an ICD. So in response to the first question posed, there appears to be room for significant improvement in disseminating ICD therapy to those who will benefit in the population.

What can be done to improve the use of ICD therapy in appropriate patients? To date, efforts to improve appropriate ICD use have centered on quality and performance improvement interventions in heart failure populations. In IMPROVE-HF (Registry to Improve the Use of Evidence-Based Heart Failure Therapies in the Outpatient Setting), a multidimensional practice-specific performance improvement intervention resulted in a 27% increase in ICD use over 24 months from 50.1 to 77.5%.11 ICD use has also significantly increased in hospitalized patients participating in GWTG-HF (Get With The Guidelines Heart Failure Program).12 Recognizing the success of performance improvements in randomized trials (hazard ratios of 0.33–0.44),9,10 the percentage of patients with ICDs would be ~2.5 to 3 times higher in the general population with systolic dysfunction, bringing the use numbers closer to the 50% found in the registry studies. Second, guidelines specify that ICDs are not warranted for subjects who have comorbidities or psychiatric illnesses that are likely to limit survival with an acceptable functional status to <1 year or prevent compliance with device follow-up.1 It is difficult to assess the presence of these factors from retrospective review of medical records. Indeed, SCA victims without ICDs in this study were older and thus more at risk for comorbidities. There was also a significant burden of psychiatric illness among those without ICDs. Retrospective determination of New York Heart Association class, a critical component of eligibility criteria, is also difficult and subject to error. Therefore, there are likely some patients who were considered eligible based on the limited data available to the study investigators, but who in reality should not have received an ICD. Despite these limitations, the present study suggests that an important number of eligible patients are not receiving ICDs and some go on to suffer an SCA, which might have been prevented by an ICD. So in response to the first question posed, there appears to be room for significant improvement in disseminating ICD therapy to those who will benefit in the population.

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improvement initiatives, a new metric regarding counseling about the potential benefits of ICD therapy has been added to the American College of Cardiology Foundation/American Heart Association/American Medical Association-Physician Consortium for Performance Improvement 2011 Performance Measures for Adults With Heart Failure. The writing committee chose to develop a measure that addresses counseling instead of the actual implantation in recognition that the decision to implant an ICD is complex and there are a multitude of reasons why an individual decision might be made to not implant an ICD. Such initiatives need to be accompanied by appropriate balanced education of physicians, patients, and the public regarding the benefits and risks of ICD placement so that effective counseling can be provided. Even with proper access, counseling, and education, there will always be a certain fraction of the at-risk population who will elect not to undergo ICD therapy for reasons of personal preference.

Although addressing the underuse of ICDs is undoubtedly important, the analysis from the Oregon Sudden Unexplained Death Study demonstrates that these patients represent a very small portion with respect to the total SCA problem. Even if all of the additional SCA victims who had an echocardiogram and met low LVEF criteria for an ICD had received an ICD, only 4.4% of the SCA victims in the Oregon Sudden Unexplained Death Study would have been affected. The overwhelming majority of patients who suffered a SCA in the study did not have a depressed LVEF documented before SCA and therefore would not have been candidates for ICD therapy. Only 23.3% had an assessment of LVEF, and, although there were undoubtedly some low ejection fractions that were missed in this population-based study, this is unlikely to account for a large fraction of the population. Rather, as has been documented in other populations, it is more likely that a majority of these individuals simply did not fall into one of the high-risk subsets in which echocardiographic screening would be indicated. For the majority of SCA victims, there is no preceding history of clinically recognized heart disease and SCA is the first manifestation of heart disease, most often coronary heart disease. Even among the smaller subgroup of SCA victims who had clinically recognized heart disease before death, most do not have a previous diagnosis of heart failure or an LVEF <30%. In the present study, more than two-thirds of the 448 sudden death victims who did undergo ICD therapy for reasons of personal preference.

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Disclosures
Dr Albert is the Principal Investigator on research grants received from National Heart, Lung, and Blood Institute, American Heart Association, and St. Jude Medical to study risk predictors of sudden cardiac death. Dr Stevenson is coholder of a patent for needle catheter ablation that is assigned to Brigham and Women’s Hospital.

References
6. Narayanan K, Reiner K, Uy-Evano A, Teodorescu C, Chugh H, Marjon E, Gunson K, Kui J, Chugh SS. Frequency and determinants of therapies such as the ICD could be applied to benefit larger populations, substantially better markers of arrhythmic risk beyond LVEF are required, and this is a current active area of research. These novel markers should be relatively accessible and have adequate discriminatory power for arrhythmic death such that they could be applied to broader populations, such as patients with coronary heart disease without severe systolic dysfunction or more broadly to patients with multiple coronary heart disease risk factors. It is unlikely that one marker will be sufficient, and combinations of markers will likely be needed as risk stratification tools. This search for improved risk stratification tools along with continued basic arrhythmia research may lead to a better understanding of the biological pathways and mechanisms underlying the predisposition to arrhythmias, which might eventually lead to new targeted approaches for sudden cardiac death prevention beyond the ICD.


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