Cardiovascular Mortality and Exposure to Airborne Fine Particulate Matter and Cigarette Smoke

C. Arden Pope III, PhD; Richard T. Burnett, PhD; Daniel Krewski, PhD; Michael Jerrett, PhD; Yuanli Shi, MD; Eugenia E. Calle, PhD; Michael J. Thun, MD

Background—Fine particulate matter exposure from both ambient air pollution and secondhand cigarette smoke has been associated with larger risks of cardiovascular mortality than would be expected on the basis of linear extrapolations of the relative risks from active smoking. This study directly assessed the shape of the exposure-response relationship between cardiovascular mortality and fine particulates from cigarette smoke and ambient air pollution.

Methods and Results—Prospective cohort data for >1 million adults were collected by the American Cancer Society as part of the Cancer Prevention Study II in 1982. Cox proportional hazards regression models that included variables for increments of cigarette smoking and variables to control for education, marital status, body mass, alcohol consumption, occupational exposures, and diet were used to describe the mortality experience of the cohort. Adjusted relative risks of mortality were plotted against estimated average daily dose of fine particulate matter from cigarette smoke along with comparison estimates for secondhand cigarette smoke and air pollution. There were substantially increased cardiovascular mortality risks at very low levels of active cigarette smoking and smaller but significant excess risks even at the much lower exposure levels associated with secondhand cigarette smoke and ambient air pollution.

Conclusions—Relatively low levels of fine particulate exposure from either air pollution or secondhand cigarette smoke are sufficient to induce adverse biological responses increasing the risk of cardiovascular disease mortality. The exposure-response relationship between cardiovascular disease mortality and fine particulate matter is relatively steep at low levels of exposure and flattens out at higher exposures. (Circulation. 2009;120:941-948.)

Key Words: air pollution ■ cardiovascular diseases ■ mortality ■ tobacco smoke pollution ■ smoking

Since the early 1990s, there has been growing evidence that long-term exposure to fine particulate matter air pollution contributes to the risk of all-cause and cardiovascular mortality.1-9 An important issue relative to these findings is that the inhaled dose of fine particles from ambient pollution is extremely small compared with that from cigarette smoking. The cardiovascular mortality effect estimates from studies of ambient fine particulate pollution and secondhand cigarette smoke (SHS) are much higher than would be expected on the basis of extrapolations of the effects of active cigarette smoking that assume a linear dose-response relationship that goes through the origin.10-12 Conversely, linear extrapolations of exposure-response functions estimated from the air pollution and SHS literature to very high levels of exposure predict cardiovascular death rates that are higher than considered plausible.13,14 The present analysis explores the shape of the exposure-response relationship between cardiovascular mortality and fine particulate air pollution from cigarette smoke and ambient air pollution.

Methods

Cohort and Exposure Data

This study is largely based on data collected by the American Cancer Society (ACS) as part of the Cancer Prevention Study II (CPS-II), an ongoing prospective cohort mortality study involving >1 million adults. More detailed descriptions of this cohort are provided elsewhere.3,4,15,16 Participants from throughout the United States were enrolled in the cohort in the fall of 1982. Enrollment was restricted to persons who were aged ≥30 years and who were members of households with 1 or more members aged ≥45 years. Participants completed a confidential questionnaire, which included items on age, sex, weight, height, smoking history, alcohol use, occupational exposures, diet, education, marital status, and other individual characteristics (the questionnaire can be viewed at http://www.cancer.org/docroot/RES/RES_6_5.asp?). As with most data collected by questionnaire, it is difficult to directly and fully verify the accuracy and validity of the research subject’s responses. However, a data quality audit that utilized a sample of microfilm

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Copies of the questionnaires has been conducted as part of a larger reanalysis project, and only “minor errors” that “did not materially impact the data as published” were found. Vital status of the study participants was ascertained by personal contact from the month of enrollment until August 1988 and thereafter through linkage with the National Death Index. Death certificates were obtained and coded for cause of death through 1995. Beginning in 1996, cause of death was coded according to the International Classification of Diseases, Ninth Revision (ICD-9). The present analysis used 3 ICD-9 cause of death groupings, namely, deaths due to (1) ischemic heart disease (ICD-9 410 to 414), (2) cardiovascular disease (ICD-9 401 to 459), and (3) cardiopulmonary disease (ICD-9 401 to 459 and 460 to 519). Cardiovascular and cardiopulmonary diseases have substantial common comorbidity. A potential for cross-coding and misclassification of primary causes of death is also inherent in the use of death certificate data, making it somewhat unclear which ICD-9 groupings most generally or specifically indicate death due to cardiovascular disease. For example, inflammation associated with pulmonary disease contributes to cardiovascular risk, and individuals with chronic obstructive pulmonary disease, determined either by clinical symptoms or deficits in lung function, are much more likely to be coded as dying of cardiovascular disease on the basis of death certificate data. The present analysis, which seeks to improve the resolution of the exposure-response relationship at the lower levels of smoking-related exposure, included the full cohort with the following exceptions: (1) individuals who smoked cigars or a pipe but not cigarettes or who had incomplete data on smoking history were excluded, and (2) to ensure the evaluation of only long-term smoking with exposure over most of their adult lifetimes, smokers who started smoking after 25 years of age were also excluded. The final analytic cohort was ~1.02 million participants, including 217,000 current smokers at age 25 years at time of enrollment. For comparison purposes, excess cardiovascular mortality risk estimates from the 2006 Surgeon General’s report32 for low to moderate exposure (1 to 19 cigarettes per day) and for moderate to high exposure (≥20 cigarettes per day) for current smokers; indicator variables using the same increments for previous smokers; and variables to control for education, marital status, body mass, alcohol consumption, occupational exposures, and diet.

In particular, 2 variables that indicated completion of high school or education beyond high school were included along with marital status variables that represented “single and other” and married. Body mass index and body mass index squared were entered as continuous variables. Alcohol consumption was represented by indicator variables for beer, liquor, and wine drinkers and nondrinkers versus nondrinkers. Various variables were used to control for occupational exposures, including the following: regular occupational exposure to asbestos, chemicals/acids/solvents, coal or stone dusts, coal tar/pitch/asphalt, diesel engine exhaust, or formaldehyde, along with additional variables that indicated 7 different rankings of an occupational dirtiness index that has been developed and described elsewhere. The models included quintile indicator variables for 2 diet indices that accounted for fat consumption and consumption of vegetables, citrus, and high-fiber grains. Sensitivity to age was explored by conducting separate analyses for all ages, ages <65 years, and ages ≥65 years at time of enrollment.

Plotting Exposure-Response Relationships

Adjusted relative risks (as estimated by the hazard ratios from the Cox proportional hazards model) for air pollution and various levels of cigarette smoking from the present ACS analysis, previous analyses of ACS-PM\textsubscript{2.5} cohorts, comparison PM\textsubscript{2.5} risk estimates from alternative cohorts, and comparison estimates for SHS were plotted against estimated average daily inhaled doses of PM\textsubscript{2.5}. Across different studies, various measures of exposure are used, including average concentrations of PM\textsubscript{2.5}, cigarettes smoked per day, and different increments of SHS exposure. To evaluate exposures on a common scale, estimated average daily inhaled dose was used as the common exposure metric to plot the exposure-response relationship. The average daily dose of PM\textsubscript{2.5} inhaled into the lungs was estimated by multiplying average PM\textsubscript{2.5} concentrations by average daily inhalation rates (m\textsuperscript{3}/d). Actual ventilation rates depend on age, sex, body size, activity levels, and other factors. Estimates of average daily inhalation rates for adults range from 13 to 23 m\textsuperscript{3}/d. For the purposes of this analysis, the baseline estimates of daily dose assume an inhalation rate of 18 m\textsuperscript{3}/d, a value consistent with contemporary estimates.

The dose of PM\textsubscript{2.5} from cigarette smoking depends on cigarette composition and smoking patterns. The Federal Trade Commission has developed a machine-based measurement system that estimates cigarette yields according to the Federal Trade Commission protocol. Although these yields provide estimates of potential inhaled dose by smokers, this approach is subject to certain limitations. For example, changes in cigarette composition such as reduced tar or filtered cigarettes may change machine-measured yields but not necessarily the dose because of compensatory changes in human smoking patterns or behavior. The average dose of PM\textsubscript{2.5} from cigarette smoking has been estimated to be from ~7 to 17.5 mg per cigarette. On the basis of approximate average delivery of particulate matter for cigarettes sold in the United States from the late 1970s to the early 1990s, the baseline estimates of average daily dose in this analysis assume an inhaled dose of 12 mg per cigarette.

For comparison purposes, excess cardiovascular mortality risk estimates from the 2006 Surgeon General’s report for low to moderate SHS exposure (1 to 14 or 1 to 19 cigarettes per day) and for moderate to high exposure (≥15 or ≥20 cigarettes per day) are included in the plots. Additionally, risk estimates for acute myocardial infarction from the INTERHEART study of 52 countries associated with 1 to 7 hours per week of SHS exposure or exposure.
from living with a spouse who smoked are included. Long-term average PM$_{2.5}$ exposure is estimated to be 20 µg/m$^3$ for low to moderate SHS exposure (including 1 to 7 hours per week), 50 µg/m$^3$ for moderate to high exposure, and 30 µg/m$^3$ for living with a spouse who smokes, on the basis of limited studies that have sampled SHS exposure over time to characterize long-term exposure.32,34–38

Results

Table 1 presents selected summary statistics for the primary ACS CPS-II analytic cohorts used in the analysis, including the larger cohort used to estimate smoking effects and the subcohorts with air pollution data used to estimate air pollution effects. Table 2 presents adjusted relative cardiovascular risk estimates and estimated daily dose of PM$_{2.5}$ for various increments of exposure from cigarette smoking, SHS, and ambient air pollution from the present analysis and the selected comparison studies. Figure 1 presents the adjusted relative risks plotted over baseline estimated daily doses of PM$_{2.5}$ similar to Figure 1 but with the use of a log scale for dose. Risk estimates from 3 major US prospective cohorts that have been used to study the mortality effects of long-term exposure to PM$_{2.5}$ are included.1,2,4,5,7,8 An exposure gap between active cigarette smoking and air pollution or SHS limits our ability to estimate the exposure-response function with high resolution. Nevertheless, a pattern of increasing risk with increasing exposure is generally observed. Daily dose estimates using reasonable alternative assumptions about inhalation rates and inhaled dose from cigarette smoking did not change the basic shape of the exposure-response relationship (plots not shown).

Figure 2 presents the adjusted relative risks plotted over baseline estimated daily doses of PM$_{2.5}$ similar to Figure 1 but with the use of a log scale for dose. Risk estimates from 3 major US prospective cohorts that have been used to study the mortality effects of long-term exposure to PM$_{2.5}$ are included.1,2,4,5,7,8 An exposure gap between active cigarette smoking and air pollution or SHS limits our ability to estimate the exposure-response function with high resolution. Nevertheless, a pattern of increasing risk with increasing exposure is generally observed. Daily dose estimates using reasonable alternative assumptions about inhalation rates and inhaled dose from cigarette smoking did not change the basic shape of the exposure-response relationship (plots not shown).

Figure 3 presents adjusted relative risks plotted over different increments of cigarette smoking for participants who were <65 and ≥65 years of age at time of enrollment. Linear fits through the relative risk estimates for cardiovascular disease along with log-linear fits for low exposure levels (≤100 mg) are presented as potential monotonic exposure-response functions. Similarly shaped exposure-response relationships were observed for both men and women. Relative risks of cigarette smoking were larger for younger and middle-aged individuals than for the elderly. Stratifying the data by age groups and estimating relative risks for narrow increments of cigarette smoking result in some statistical noise relative to the shape of the exposure-response function for different age groups at these low levels of smoking. However, for both age groups, there was substantial excess risk associated with even very light smoking and an apparent flattening out of the exposure-response relationship at higher levels of smoking.

Discussion

Active cigarette smoking has been established as a major independent cause of cardiovascular disease since at least 1983 on the basis of extensive epidemiological, clinical, and experimental data.39,40 Declining smoking-related relative risks for more elderly participants, potentially because of...
Comparison SHS estimates

<table>
<thead>
<tr>
<th>Source of Risk Estimate</th>
<th>Increments of Exposure</th>
<th>Adjusted Relative Risk (95% CI)</th>
<th>Estimated Daily Dose of PM$_{2.5}$, mg</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cigarette smoking estimates based on ACS CPS-II cohort</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ACS full cohort, present analysis</td>
<td>$\leq$3 (1.5) cigarettes/day</td>
<td>1.63 (1.36–1.96)</td>
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<td>ACS full cohort, present analysis</td>
<td>4–7 (5.5) cigarettes/day</td>
<td>1.54 (1.34–1.77)</td>
<td>23.5</td>
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<tr>
<td>ACS full cohort, present analysis</td>
<td>8–12 (10) cigarettes/day</td>
<td>1.85 (1.69–2.02)</td>
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<tr>
<td>ACS full cohort, present analysis</td>
<td>13–17 (15) cigarettes/day</td>
<td>1.79 (1.59–2.02)</td>
<td>180.0</td>
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<tr>
<td>ACS full cohort, present analysis</td>
<td>18–22 (20) cigarettes/day</td>
<td>1.98 (1.87–2.10)</td>
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<tr>
<td>ACS full cohort, present analysis</td>
<td>$\geq$23 (27) cigarettes/day</td>
<td>1.97 (1.86–2.10)</td>
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<tr>
<td>Ambient air pollution estimates based on ACS CPS-II cohort</td>
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<tr>
<td>ACS PM$_{2.5}$ subcohort, original</td>
<td>24.5 $\mu$g/m$^3$ ambient PM$_{2.5}$</td>
<td>...</td>
<td>0.44</td>
</tr>
<tr>
<td>ACS PM$_{2.5}$ subcohort, extended</td>
<td>10 $\mu$g/m$^3$ ambient PM$_{2.5}$</td>
<td>1.18 (1.14–1.23)</td>
<td>0.18</td>
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<tr>
<td>Comparison ambient air pollution estimates based on alternative cohorts</td>
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<td>Harvard Six Cities original</td>
<td>18.6 $\mu$g/m$^3$ ambient PM$_{2.5}$</td>
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<td>0.33</td>
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<tr>
<td>Harvard Six Cities extended</td>
<td>10 $\mu$g/m$^3$ ambient PM$_{2.5}$</td>
<td>...</td>
<td>0.18</td>
</tr>
<tr>
<td>Women’s Health Initiative</td>
<td>10 $\mu$g/m$^3$ ambient PM$_{2.5}$</td>
<td>...</td>
<td>0.18</td>
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<tr>
<td>Comparison SHS estimates</td>
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<tr>
<td>Surgeon General’s report</td>
<td>Low-moderate SHS exposure</td>
<td>1.16 (1.03–1.32)</td>
<td>0.36</td>
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<tr>
<td>Surgeon General’s report</td>
<td>Moderate-high SHS exposure</td>
<td>1.26 (1.12–1.42)</td>
<td>0.90</td>
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<td>INTERHEART study</td>
<td>1–7 h/wk SHS exposure</td>
<td>1.24 (1.17–1.32)§</td>
<td>0.36</td>
</tr>
<tr>
<td>INTERHEART study</td>
<td>Live with smoking spouse</td>
<td>1.28 (1.12–1.47)§</td>
<td>0.72</td>
</tr>
</tbody>
</table>

*The baseline estimated daily dose assumes an inhalation rate of 18 m$^3$/d and a dose of 12 mg per cigarette.
†The alternative estimated daily dose assumes an inhalation rate of 23 m$^3$/d and a dose of 7 mg per cigarette.
‡First cardiovascular disease event.
§Myocardial infarction.

Competing risks and selection bias, have also been observed previously. The causal relationship between SHS and cardiovascular disease has been recognized by scientific consensus reviews beginning in the early 1990s. There is growing evidence that ambient air pollution is also a risk factor for cardiovascular disease. Previous studies, including those using the ACS CPS-II cohort data, have observed that ambient PM$_{2.5}$ is associated with cardiopulmonary disease mortality but not with non–cardiopulmonary disease mortality.1–7

The present analysis combined exposure and risk information for active and passive cigarette smoking and air pollution. The results indicate that it is fundamentally implausible that the relationship between cardiovascular mortality and fine particulate pollution from cigarette smoke and ambient air pollution can be characterized as linked by a single linear dose-response relationship. The exposure-response relationship between long-term exposure to PM$_{2.5}$ and risk of cardiovascular disease mortality is not a linear function that passes through the origin. Rather, our results suggest that the exposure-response function is relatively steep at very low levels of exposure, flattening out at high exposure levels. An analysis of the PM$_{2.5}$-mortality exposure-response relationship suggests that effect estimates from the studies of ambient air pollution and SHS are not necessarily higher than would be expected on the basis of extrapolations of effects of active cigarette smoking. Even the lowest levels of cigarette smoking are associated with excess risk that exceeds those observed in studies of ambient air pollution and SHS.

This analysis has several limitations, particularly the large exposure gap between ambient air pollution, SHS, and active smoking. There are no prospective cohort or related studies of long-term exposure across the range of exposure that substantially help to fill this gap. However, historical time-series data from London are instructive. Figure 4 presents daily mortality in London for the winters of 1958–1972 plotted over concentrations of particulate pollution and estimated daily dose. The dots represent daily means for 20 adjacent values after sorting by pollution concentrations, as similarly presented previously. The range of air pollution concentrations results in estimated inhaled doses that are approximately between contemporary levels of air pollution and very light active smoking (1 or 2 cigarettes per day). The use of different measures of particulate pollution and different time...
scales of exposure makes direct comparisons with Figure 1 problematic, but these results are also suggestive of a non-linear response relationship with declining marginal effects at higher concentrations. The response relationship is relatively steep and nearly linear at the low range of exposure, consistent with more contemporary daily time-series studies with lower levels of air pollution and substantially narrower ranges of exposure.43

This study is also subject to uncertainty arising from measurement error in PM$_{2.5}$ exposure estimates. After enrollment, additional information on cigarette smoking and residence history was not collected. Changes in smoking habits, including quitting smoking, would likely result in underestimation of the exposure effects. Furthermore, changes in composition of cigarettes and smoking habits may have changed the inhaled dose of PM$_{2.5}$ per cigarette. Reasonable
changes in assumptions used to calculate doses (such as ventilation rates and yields of PM$_{2.5}$ per cigarette) can affect the size of the exposure gap between active cigarette smoking and SHS or air pollution exposures. In addition, it may be possible that very light smokers inhale more often or more deeply and have larger doses per cigarette than those who smoke more. However, even doubling the estimated dose for those who smoke $\leq 3$ cigarettes per day from 18 to 36 mg (which in Figures 1 and 2 would move the estimated relative risks for the lightest smokers to the right by 18 mg) does not fundamentally change the overall shape of exposure-response relationship. Reasonable changes in assumptions about ventilation rate and dose per cigarette do not alter the principal observation that the exposure-response function is much steeper at lower exposures than at higher exposures.

Another limitation of this study is that it uses PM$_{2.5}$ as the metric of exposure from different sources. There may be important differences in health effects due to differences in the composition of fine particles from active smoking, SHS, and air pollution from various sources. Components or combinations of components of fine particulates from cigarette smoke and other combustion sources and the mechanisms responsible for the observed adverse cardiovascular health effects have yet to be elucidated fully. However,
reviews of the evidence suggest that long-term exposures to PM$_{2.5}$ from cigarette smoke and ambient air pollution affect multiple physiological pathways and that relatively low levels of exposure from SHS and ambient air pollution are adequate to contribute substantively to adverse biological responses, including pulmonary and systemic oxidative stress, inflammatory vascular dysfunction, increased platelet activation and blood viscosity, atherosclerosis, ischemic heart disease, and altered cardiac autonomic function.$^{32,42,43,46}$ Ambrose and Baran,$^{46}$ in an evaluation of the pathophysiology of cigarette smoke and cardiovascular disease, discuss the plausibility of a nonlinear response relationship for cardiovascular health and suggest that this nonlinear response may be caused by underlying biochemical and cellular processes becoming saturated with small doses of toxic components.

Cumulative exposure depends on both the intensity and duration of exposure. A recent analysis explored the dynamic exposure-response relationship between particulate pollution exposure and mortality risk by integrating evidence from different time scales of exposure.$^{47}$ Interestingly, the dynamic exposure-response to duration of exposure was also not linear but nearly log-linear, with relatively recent exposures having the largest health impacts. Overall, these results suggest a nonlinear exposure-response association with both dimensions of cumulative exposure, the level of ongoing contemporaneous exposure and the duration of exposure.

The empirical findings of this analysis have important public health implications. For example, cohort studies of the effects on cardiovascular mortality of long-term exposure to PM$_{2.5}$ have been conducted in areas where annual average concentrations rarely exceed 30 $\mu g/m^3$. However, average concentrations of particulate air pollution in major population centers of China, India, and other developing countries are often much higher, exceeding 100 $\mu g/m^3$ according to recent estimates.$^{48}$ Although estimates of the burden of disease attributable to air pollution are highly sensitive to the modeling of the shape of the exposure-response relationship, a compelling empirical rationale for the choice of a linear, log linear, or alternative model has not been presented.$^{13,14}$ Our analysis contributes much-needed empirical evidence on the shape of the exposure-response relationship of the health impacts of fine particulate matter exposure from cigarette smoke and combustion-related ambient air pollution.

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**CLINICAL PERSPECTIVE**

Active cigarette smoking is well established as a major independent cause of cardiovascular disease on the basis of extensive epidemiological, clinical, and experimental data. Compared with active cigarette smoking, passive exposure to secondhand cigarette smoke and ambient air pollution results in extremely small estimated daily doses of inhaled fine particulate and related pollutants. Yet there is substantial and growing evidence that secondhand cigarette smoke and ambient air pollution also contribute to cardiovascular disease, with risk estimates much higher than predicted on the basis of simple extrapolations of the effects of active smoking that assume a linear exposure-response function. The present study empirically explores the shape of the exposure-response relationship by integrating analyses of data from a prospective cohort mortality study of >1 million adults (collected by the American Cancer Society as part of the Cancer Prevention Study II) and exposure and risk information from comparison studies of air pollution and secondhand cigarette smoke. With the use of estimated average daily inhaled dose of fine particulate as the common exposure metric, the exposure-response relationship appears to be relatively steep at low levels of exposure. The largest marginal increases in cardiovascular risk occur at remarkably low levels of exposure. Therefore, much of the excess cardiovascular risk from active smoking occurs even at very low levels of smoking, and smaller but substantive excess risks are also associated with passive exposure to secondhand smoke and ambient air pollution.
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