Stable Myocardial-Specific AAV6-S100A1 Gene Therapy Results in Chronic Functional Heart Failure Rescue

Sven T. Pleger, MD*; Patrick Most, MD*; Matthieu Boucher, PhD; Stephen Soltys, BS; J. Kurt Chuprun, PhD; Wiebke Pleger, BS; Erhe Gao, MD; Abhijit Dasgupta, PhD; Giuseppe Rengo, MD; Andrew Remppis, MD; Hugo A. Katus, MD; Andrea D. Eckhart, PhD; Joseph E. Rabinowitz, PhD; Walter J. Koch, PhD

Background—The incidence of heart failure is ever-growing, and it is urgent to develop improved treatments. An attractive approach is gene therapy; however, the clinical barrier has yet to be broken because of several issues, including the lack of an ideal vector supporting safe and long-term myocardial transgene expression.

Methods and Results—Here, we show that the use of a recombinant adeno-associated viral (rAAV6) vector containing a novel cardiac-selective enhancer/promoter element can direct stable cardiac expression of a therapeutic transgene, the calcium (Ca2+)–sensing S100A1, in a rat model of heart failure. The chronic heart failure–rescuing properties of myocardial S100A1 expression, the result of improved sarcoplasmic reticulum Ca2+ handling, included improved contractile function and left ventricular remodeling. Adding to the clinical relevance, long-term S100A1 therapy had unique and additive beneficial effects over β-adrenergic receptor blockade, a current pharmacological heart failure treatment.

Conclusions—These findings demonstrate that stable increased expression of S100A1 in the failing heart can be used for long-term reversal of LV dysfunction and remodeling. Thus, long-term, cardiac-targeted rAAV6-S100A1 gene therapy may be of potential clinical utility in human heart failure. (Circulation. 2007;115:2506-2515.)

Key Words: gene therapy ■ heart failure ■ long-term care ■ S100A1 protein

Cardiovascular disease remains a leading cause of mortality worldwide.1,2 In particular, chronic heart failure (HF) continues to represent an enormous clinical challenge because HF mortality and incidence continue to rise.2,3 Although therapy has considerably improved HF care over the last 2 decades, existing treatments are not ideal because they often fail to support myocardium and increase global cardiac function.1–3 Therefore, novel therapeutic approaches to target the underlying molecular defects of ventricular dysfunction in HF are needed. One hallmark molecular defect in failing myocardium is dysfunctional intracellular calcium (Ca2+) handling, and several Ca2+-cycling proteins have been identified as potential targets for reversing failing myocyte function.4,5

Clinical Perspective p 2515

S100A1 is a Ca2+-sensing protein of the EF-hand type and a positive inotropic regulator of myocardial function in vitro and in vivo.6–10 Consistent with S100A1 being a key player in cardiac contractile function, data generated from S100A1 knockout mice demonstrate that the loss of S100A1 expression leads to an inability of the heart to adapt to acute or chronic hemodynamic stress in vivo.11,12 Importantly, S100A1-mediated effects on cardiac contractile function do not interfere with basic regulatory mechanisms of myocardial contractility9 and have been found to be independent of β-adrenergic receptor (βAR) signaling.6–8,11 Functional properties of S100A1 in cardiomyocytes are caused mainly by increased sarcoplasmic reticulum (SR) Ca2+-ATPase (SERCA2a) activity, diminished diastolic SR Ca2+ leak, and augmented systolic open probability of the ryanodine receptor, causing an overall gain in SR Ca2+ cycling.12–14 This demonstrates a potential distinct mechanism of action for S100A1 altering Ca2+ handling in both phases of SR function.
S100A1 is highly and preferentially expressed in the healthy heart, whereas it is found to be significantly downregulated in HF.\(^1,3,10\) Previous studies by our laboratory have shown that targeting S100A1 expression in HF is a promising strategy to recover deranged intracellular Ca\(^{2+}\) cycling and to improve contractile function of failing cardiomyocytes.\(^1,3,17\) Cardiac adenoviral-mediated S100A1 gene therapy rescued myocardial performance in a rat HF model in vivo and ex vivo.\(^13,17\) These data suggest that chronic S100A1 gene delivery to failing myocardium may be therapeutic. However, before this potential target and others for HF gene therapy are realized,\(^17\) safe, efficient, and reproducible gene therapy vector systems must be established and tested. It is becoming increasingly clear that recombiant adeno-associated viral (rAAV) vectors have properties amenable to future human use and that S100A1 delivered to myocardium using these vectors may indeed fulfill the currently unmet promise of HF gene therapy. Accordingly, in this study, we tested the chronic effects of S100A1 gene therapy in HF and selectively targeted S100A1 gene expression to myocardium using a rAAV6 vector engineered with a novel cardiac-specific enhancer/promoter element. Finally, to further enhance potential clinical relevance, S100A1 HF gene therapy was compared with and added to pharmacological \(\beta\)AR-blocker treatment.

### Methods

**Construction of \(\alpha\)-Cardiac Actin Enhancer/Elongation Factor 1\(\alpha\) Promoter**

Genomic DNA was isolated from mouse (C57/BL6) muscle, and polymerase chain reaction amplification was performed using PfuUltra (Stratagene, La Jolla, Calif) with the following primer pair: forward, 5'-AGGAGATTTCAATTTACCAGTCTGCCTTTCCCTGTCATAGTCAGCTGCTTTTCTTCAGTTACACCCAG-3'; and reverse, 5'-CATGTTGCAACGACGGCC-3'. This resulted in a 324-bp fragment containing the \(\alpha\)-cardiac actin myocyte-enhancer-factor-2 (MEF2) domain.\(^18\) The fragment contained an extra MEF2 element built into the forward primer and 2 enhancer myogenic differentiation antigen consensus sequences. This fragment was cloned in place of the cytomegalovirus (CMV) enhancer sequence in pCpGLacZ (InvivoGen, San Diego, Calif), resulting in pCpGa-cardLacZ. The human S100A1 gene was cloned by polymerase chain reaction from the plasmid (pBSSK) with PfuUltra (Stratagene) using the following primer pair: forward, 5'-CTGCATGGGCTCTGAGCTGGAGACGGCG-3'; and reverse, 5'-CCAGACTAGTCAACCTGTTGACCTGAGACGGCC-3'; and reverse, 5'-CCAGACTAGTCAACCTGTTGACCTGAGACGGCC-3'. This resulted in a 290-bp fragment that replaced the LacZ gene in pCpGa-cardLacZ, resulting in pCpGa-cardS100. This plasmid was digested with EcoRI, and the \(5'\) overhang was filled in with Klenow (New England Biolabs, Beverly, Mass) and cloned into pTRUFR in place of the HSVtk enhancer/promoter neo gene, resulting in a packaging construct containing CMV-driving green fluorescent protein (GFP) and \(\alpha\)-cardiac actin enhancer/elongation factor 1\(\alpha\) (EF1\(\alpha\)) promoter–driving S100A1 construct used. Shown are the positions of the 2 independent transgenic cassettes: GFP driven by the CMV promoter and S100A1 driven by the \(\alpha\)-cardiac actin enhancer/EF1\(\alpha\) promoter. B, Representative Western blot analysis of GFP expression in rat tissues 8 weeks after in vivo intracoronary delivery with AAV6/S100A1. C, Representative Western blot of human S100A1 protein present only in the heart and absent in other rat tissues harboring the GFP transgene 8 weeks after AAV6/S100A1 delivery. D, Representative GFP fluorescence microscopy (left), light microscopy (middle), and overlay of both (right) of LV myocardium 8 weeks after intracoronary AAV6/S100A1 delivery. Magnification, ×40. E, S100A1 immunohistochemistry in LV tissue from AAV6/GFP- (left, control) and AAV6/S100A1-treated (right) rats (8 weeks after gene delivery). Magnification, ×40.

**Myocardial Infarction and Evaluation of In Vivo Cardiac Function**

Procedures were performed as described previously.\(^13,17\) The detailed experimental procedures for left ventricular (LV) cryoinfarction, echocardiography, and hemodynamic analysis of cardiac function are given in the online-only Data Supplement.

**Myocardial In Vivo Gene Delivery**

All animal procedures and experiments were performed in accordance with the guidelines of the Institutional Animal Care and Use Committee of Thomas Jefferson University. Myocardial gene trans-
fer to 10-week post–myocardial infarction (MI) rats was achieved as previously described\textsuperscript{13,17a} with some modifications. Briefly, under general anesthesia (2% isoflurane, vol/vol), a midline cervical incision was made, and the animal was cooled to 29°C with ice packs. A P-50 catheter (Becton Dickinson, Sparks, Md) was advanced into the aortic root via the right carotid artery, and the ascending aorta was looped with 2-0 silk. Then, 1.2 mg adenosine was injected into the right ventricle with a 301⁄2-gauge needle. The ascending aorta was clamped, and 2.5 × 10\textsuperscript{11} particles of the AAV construct and 8 μg of substance P (Sigma Chemical Co, St Louis, Mo) were rapidly injected into the aortic root, allowing coronary perfusion. After 2 minutes, the aortic clamp was released, a bolus of dobutamine (30 μg IA) was administered, and the animal was rewarmed with a heating pad.

**Histology, Western Blotting, and Real-Time Polymerase Chain Reaction**

Immunohistochemistry, assessment of infarct size, Western blot analysis, and quantitative real-time polymerase chain reaction were performed as previously reported.\textsuperscript{13,17a}

**Ca\textsuperscript{2+} Transient Analysis and Contractile Parameters of Isolated Adult Rat Cardiomyocytes**

Isolation of adult rat cardiomyocytes, assessment of contractile parameters, and Ca\textsuperscript{2+} transients of isolated cardiomyocytes were done as described.\textsuperscript{13,17a}

**Statistical Analysis**

Data are summarized as mean±SEM. Comparisons were made using \( t \) tests or ANOVA as appropriate. When multiple observations exist per animal, we used a mixed-effects model with random intercept for each animal to account for the repeated measurements. The statistical significance of each effect was then determined from Wald statistics derived from the mixed-effects models. A Bonferroni correction was applied to the probability values whenever multiple comparisons arose. Statistical analysis was performed with the Graph PadPrism software and the R statistical package (www.r-project.org). For all tests, \( P<0.05 \) is considered statistically significant after Bonferroni corrections if needed.

The authors had full access to and take full responsibility for the integrity of the data. All authors have read and agree to the manuscript as written.

**Results**

**Cardioselective S100A1 Gene Delivery With a Novel \( \alpha \)-Cardiac Actin Enhancer**

To engineer a putative myocardium-selective promoter, a 316-bp fragment of the \( \alpha \)-cardiac actin gene enhancer containing 2 MEF2 sequences and 2 enhancer MyoD consensus sequences was amplified from mouse genomic DNA and ligated to the EF1\( \alpha \) promoter within an AAV shuttle plasmid (Figure 1A). The human S100A1 cDNA was then cloned into this plasmid. The final construct (AAV6-S100A1) also contains a separate transgene cassette with the CMV promoter driving expression of the green fluorescent protein (GFP) marker gene (Figure 1A). To first examine whether this vector supports stable cardiac expression in vivo, AAV6-S100A1 was delivered to normal rats (n=4) via intracoronary delivery.\textsuperscript{13,17a} Consistent with a CMV-driven transgene, 8 weeks after gene delivery, GFP expression was found outside the heart with appreciable levels in liver, lung, and skeletal muscle (Figure 1B). In contrast to these findings, the human isofrom of S100A1 was detectable only in cardiac homografts, indicating that S100A1 expression driven by the combination of the \( \alpha \)-cardiac actin enhancer and the EF1\( \alpha \) promoter is cardioselective (Figure 1C).

Infection of myocardium by our novel AAV6 vector as assessed by GFP fluorescence of cardiac sections was global in nature but not homogeneous throughout the heart (Figure 1D), which is consistent with previous gene delivery studies using this intracoronary delivery method.\textsuperscript{13,17a} This distribution pattern also was evident with S100A1 expression as confirmed by immunohistochemistry using an antibody specific for the human isoform of S100A1 (Figure 1E).

**Characterization of Cardiac Dysfunction After MI and Before Gene Delivery**

To induce chronic HF in rats, we used a cryoinfarct model, which leads to HF in 10 to 12 weeks.\textsuperscript{13} Ten weeks after MI, cardiac function was assessed to determine pre–gene therapy status in these rats compared with sham animals. All MI rats were found to have significant LV dysfunction and were randomized into 5 treatment groups (Figure 2). Global HF was evident because of significantly diminished ejection fraction compared with sham animals (Figure 2A). Moreover, significant post-MI remodeling was apparent as determined by LV dilatation (Figure 2D). Importantly, all post-MI rats had similar HF (Figure 2 and data not shown); thus, all randomized groups had equal pre–gene therapy status. In addition to treating HF rats with AAV6-S100A1, separate groups also were treated with the \( \beta \)\textsubscript{1}AR antagonist, metoprolol (250 mg · kg\(^{-1} \) · d\(^{-1} \) in the drinking water) beginning 10 weeks after MI. All groups were then followed up over 2 months.

**S100A1 Gene Therapy Improves In Vivo Cardiac Function Long Term and Reverses LV Remodeling in HF**

The in vivo functional consequences of chronic cardioselective S100A1 gene therapy in HF were determined by echocardiography and with closed-chest catheterization. All HF groups still had significantly impaired cardiac function compared with sham rats (Figure 2B, 2E, and 2F) 18 weeks after MI; however, rats treated at 10 weeks after MI with S100A1 had significantly improved cardiac function as assessed by percent ejection fraction (Figure 2B and 2C). Representative M-mode echocardiographic recordings are shown in Figure 2G. Of interest, the significant ≈30% improvement in global cardiac function after 8 weeks of cardiac-selective S100A1 expression was seen with or without metoprolol (Figure 2C). In contrast to this improvement with S100A1, HF rats treated with only GFP or saline had further deterioration of cardiac function over this period (Figure 2C), whereas metoprolol induced no improvement but also no further functional decline (Figure 2C).

Both cardiac S100A1 gene therapy and \( \beta \)-blocker treatment significantly attenuated further LV chamber dilatation as measured by 18-week post-MI LV diastolic dimension compared with pretreatment (10 week after-MI) values, whereas progressive dilatation occurred in saline- and GFP-treated HF rats (Figure 2D and 2E). S100A1 expression with concurrent metoprolol treatment did not further attenuate LV remodeling over either treatment alone (Figure 2E). Finally,
the anterior wall (at the site of the infarct) was similarly thinned in all HF groups at 18 weeks after MI and was unchanged after treatment (Figure 2F), which is not surprising because gene delivery was performed 10 weeks after MI when expansion and scaring of the infarct are complete.19

After echocardiographic assessment of cardiac function, terminal cardiac catheterization was performed to measure 18-week post-MI hemodynamics. As expected, LV contractility and relaxation as measured by the maximal rate of LV pressure rise (dP/dt) and fall (−dP/dt), respectively, was

---

Figure 2. S100A1 gene therapy recovers cardiac function in HF. A, Ejection fraction (EF%) 10 weeks after MI before gene treatment and (B) 8 weeks after in vivo intracoronary AAV6/S100A1 gene delivery with or without metoprolol treatment. C, Percent change in alteration of EF after 8 weeks. D, LV chamber dimensions were similar before gene delivery in all HF groups. E, Effect of AAV6/S100A1 and/or β-blocker treatment on LV chamber dimensions after 8 weeks of treatment. F, Anterior wall thickness (AWTs) 18 weeks after MI. Sham, n=11; HF/saline, n=14; HF/GFP, n=11; HF/S100A1, n=12; HF/GFP-metoprolol (β), n=9; and HF/S100A1-metoprolol (β), n=9. Data are presented as mean±SEM. Bar=8 mm. G, Representative raw traces of M-mode echocardiography 8 weeks after gene delivery in the 6 experimental groups. *P<0.05 vs HF/saline, HF/GFP, or HF/GFP-metoprolol groups; #P<0.05 vs sham; &P<0.05 vs HF/saline or HF/GFP groups, ANOVA analysis and Bonferroni test between all groups.
improvement can be observed in LV contractile function weeks of increased cardiac S100A1 expression, significant these HF rats (the Table). Representative in vivo LV dP/dt end-diastolic pressure (EDP) was significantly increased in reduced in the HF/saline and HF/GFP groups, whereas Moreover, LV systolic pressure (LVSP) was significantly reduced in failing hearts treated with either saline or GFP compared with the sham group (the Table). S100A1 gene therapy led to a restoration of global myocardial function of failing hearts in vivo because dP/dt, end-diastolic pressure (EDP), and heart rate (HR) were assessed in sham (n=11), HF/saline (n=12), HF/GFP (n=10), HF/S100A1 (n=11), HF/GFP-metoprolol (n=10), and HF/S100A1-metoprolol (n=10) rats under basal conditions and after maximal isoproterenol stimulation. Ratio of heart weight to body weight (HW/BW) was also assessed in all groups. Also included is the diastolic cell length measured 2 hours after cardiomyocyte isolation from 3 animals per group. Effect of S100A1 gene therapy in heart failure. Eight weeks after gene therapy in HF, in vivo LV dP/dt, end-systolic pressure (ESP), LV dP/dt, and LV end-systolic pressure (ESP) was significantly reduced in HF, but delivery of the AAV6/S100A1 construct resulted in significantly reduced LVEDP, although LV dP/dt and LV dP/dt values were similar to those in the HF/saline and HF/GFP groups (the Table). S100A1 gene therapy in HF without or with metoprolol increased all measures of cardiac contractile function, including LV systolic pressure and LVEDP, over the 8-week period compared with other HF groups, and S100A1 alone had the largest improvement (the Table). Moreover, S100A1 gene therapy led to a restoration of global myocardial function of failing hearts in vivo because dP/dt, −dP/dt, and end-systolic significantly reduced in failing hearts treated with either saline or GFP compared with the sham group (the Table). Moreover, LV systolic pressure (LVSP) was significantly reduced in the HF/saline and HF/GFP groups, whereas end-diastolic pressure (EDP) was significantly increased in these HF rats (the Table). Representative in vivo LV dP/dt tracings from each group are shown in Figure 3A. After 8 weeks of increased cardiac S100A1 expression, significant improvement can be observed in LV contractile function (Figure 3A and the Table). Interestingly, β-blocker treatment (HF/GFP-metoprolol) significantly reduced LVEDP, although LV dP/dt and LV −dP/dt values were similar to those in the HF/saline and HF/GFP groups (the Table).

**Table:** LV catheterization, basal

<table>
<thead>
<tr>
<th></th>
<th>Sham</th>
<th>HF/Saline</th>
<th>HF/GFP</th>
<th>HF/S100A1</th>
<th>HF/GFP-Metoprolol</th>
<th>HF/S100A1-Metoprolol</th>
</tr>
</thead>
<tbody>
<tr>
<td>HR, bpm</td>
<td>292±6</td>
<td>295±9</td>
<td>288±7</td>
<td>288±9</td>
<td>264±8*</td>
<td>269±5*</td>
</tr>
<tr>
<td>LV dP/dt, mm Hg/s</td>
<td>6559±281</td>
<td>5205±295†</td>
<td>5066±262‡</td>
<td>7220±353‡</td>
<td>4948±225†</td>
<td>6390±236‡</td>
</tr>
<tr>
<td>LV −dP/dt, mm Hg/s</td>
<td>6656±277</td>
<td>4740±284†</td>
<td>4416±136†</td>
<td>5616±461‡</td>
<td>4418±223†</td>
<td>5450±130‡</td>
</tr>
<tr>
<td>LVEDP, mm Hg</td>
<td>2.5±0.4</td>
<td>8.2±0.7†</td>
<td>6.8±0.3‡</td>
<td>3.2±0.4§</td>
<td>4.6±0.5§</td>
<td>2.4±0.4§</td>
</tr>
<tr>
<td>LVESP, mm Hg</td>
<td>128±2.0</td>
<td>113±2.9†</td>
<td>112±2.5†</td>
<td>124±4.4†</td>
<td>109±3.1†</td>
<td>118±1.3</td>
</tr>
<tr>
<td>Isoproterenol (333 ng/kg BW)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>HR, bpm</td>
<td>341±5</td>
<td>334±4</td>
<td>342±7</td>
<td>349±5</td>
<td>308±6*</td>
<td>310±4*</td>
</tr>
<tr>
<td>LV dP/dt, mm Hg/s</td>
<td>14 673±215</td>
<td>9388±215†</td>
<td>9105±381†</td>
<td>11 887±660‡</td>
<td>9403±491†</td>
<td>10 808±686‡</td>
</tr>
<tr>
<td>LV −dP/dt, mm Hg/s</td>
<td>8039±317</td>
<td>5543±274†</td>
<td>5490±378‡</td>
<td>7566±368‡</td>
<td>5571±333†</td>
<td>6278±302‡</td>
</tr>
<tr>
<td>LVEDP, mm Hg</td>
<td>1.4±0.2</td>
<td>6.8±1.1†</td>
<td>6.1±0.8‡</td>
<td>1.3±0.3§</td>
<td>2.8±0.4§</td>
<td>2.2±0.3§</td>
</tr>
<tr>
<td>LVESP, mm Hg</td>
<td>126±1.9</td>
<td>103±2.3†</td>
<td>105±4.3†</td>
<td>121±4.6‡</td>
<td>105±3.7†</td>
<td>113±3.1</td>
</tr>
<tr>
<td>HW/BW ratio, g/kg</td>
<td>2.5±0.05</td>
<td>3.1±0.05†</td>
<td>3.06±0.04†</td>
<td>2.76±0.08§</td>
<td>2.77±0.05§</td>
<td>2.81±0.04§</td>
</tr>
<tr>
<td>Cell length, μm</td>
<td>104.1±2.4</td>
<td>125.9±3.5†</td>
<td>127.8±3.1†</td>
<td>113.9±2.4†</td>
<td>118.9±2.3†</td>
<td>110.8±2.3‡</td>
</tr>
</tbody>
</table>

*P<0.05 vs each non–βAR-blocker–treated group; †P<0.05 vs sham; ‡P<0.05 vs HF/saline, HF/GFP, or HF/GFP-metoprolol groups; §P<0.05 vs HF/saline or HF/GFP.
pressure could not be statistically distinguished from healthy, sham-operated animals, although the EDP remained elevated (the Table). When failing hearts were challenged with a maximal dose of isoproterenol, chronic S100A1 overexpression continued to improve cardiac performance in vivo (the Table). Heart rate was not affected by MI or in gene therapy groups; therefore, heart rate was not responsible for the functional improvements seen with S100A1 but, as expected, was significantly reduced by metoprolol (the Table).

Cardioselective S100A1 expression was confirmed by Western blotting, and levels from whole-heart homogenates can be seen in Figure 3B. In HF (18 weeks after MI), significant loss of cardiac S100A1 protein levels compared with sham levels occurs, and AAV6-S100A1 gene delivery driving S100A1 expression only in the heart with the /H9251-cardiac actin enhancer/EF1/H9251-promoter not only restores normal S100A1 levels but also increases S100A1 protein expression (Figures 3B and 4A). S100A1 protein overexpression was not evident in other tissues in HF rats, whereas GFP had the same expression pattern in rat tissues outside the heart as in Figure 1 (data not shown).

To determine whether all hearts had similar injury, we assessed LV infarct size within a subset of hearts from each group (n=4) via triphenyltetrazolium chloride (TTC) staining. Representative TTC-stained cardiac sections from each group are shown in Figure 3C. Analysis revealed an average infarct size of 22.9±0.9% of the LV (33.2±1.4% of the LV free wall), which was similar in all groups (Figure 3D).

**Analysis of Representative Ca²⁺-Cycling Proteins in HF and Treated Rats**

Hearts removed at the end of the 18-week study made it possible to examine gene expression of key Ca²⁺-cycling molecules associated with cardiac function/dysfunction within our 6 experimental groups. Chronic S100A1 gene therapy significantly increased cardiac S100A1 mRNA and protein as expected, and expression was indeed significantly decreased in the HF groups (Figures 3B and 4A; mRNA data not shown). In addition, SERCA2a and PLB mRNA levels were significantly decreased in the HF/saline and HF/GFP groups 18 weeks after MI compared with sham, and both β-blocker and AAV6/S100A1 treatment attenuated the downregulation of these key SR Ca²⁺-cycling molecules in HF (Figure 4B and 4C). In fact, AAV6/S100A1 gene therapy resulted in elevated SERCA2a and phospholamban levels that were statistically indistinguishable from sham levels (Figure 4B and 4C), whereas metoprolol administration attenuated the downregulation of SERCA2a, phospholamban, and S100A1 in HF to a lesser degree (Figure 4A, 4B, and 4C).

**Cardioselective AAV6/S100A1 Treatment in HF Also Reduces Cardiac Hypertrophy**

We also investigated the parameters of post-MI cardiac hypertrophy in the 6 experimental groups. First, the ratio of heart weight to body weight was found to be significantly increased in all HF groups compared with sham, but this was significantly attenuated with metoprolol treatment and...
Ventricular atrial natriuretic factor mRNA expression typically associated with cardiac hypertrophy also was significantly increased 18 weeks after MI in all analyzed groups compared with sham (Figure 4D); however, both β-blocker treatment and S100A1 gene therapy significantly reduced cardiac atrial natriuretic factor expression in HF (Figure 4D). On the cellular level, cardiac hypertrophy was reflected by a significantly increased length of isolated cardiomyocytes 18 weeks after MI, which interestingly was significantly reduced only in the AAV6/S100A1 groups (the Table). We also examined cardiac mRNA levels of transforming growth factor-β1 and collagen I mRNA as molecular markers of remodeling. Both were significantly elevated in the HF/saline and HF/GFP groups and were significantly reduced with metoprolol and chronic S100A1 gene therapy in HF (Figure 4E and 4F).

Long-Term S100A1 Gene Therapy Increases Contractile Properties and Ca²⁺ Transients of Cardiomyocytes After MI

To explore the mechanism of long-term S100A1 gene therapy HF rescue, we investigated the contractile performance and intracellular Ca²⁺ handling in freshly isolated LV cardiomyocytes from either sham or failing hearts 8 weeks after the various treatments. Figure 5A contains representative field-light and fluorescent images of isolated myocytes. We took advantage of GFP expression to study cells being infected (green) or not infected by in vivo AAV6 gene transfer. Figure 5B shows representative steady-state twitches (top) and Ca²⁺ transients (bottom) of cardiomyocytes isolated from all 6 experimental groups 2 months after therapeutic intervention. Myocytes isolated from saline- and GFP-treated HF rats had significant decreases in fractional cell shortening (Figure

**Figure 5.** Cardioselective AAV6/S100A1 gene therapy in HF increases contractility and intracellular Ca²⁺ transients of isolated cardiomyocytes. A, GFP expression was used to identify in vivo infected cells. GFP fluorescence (left), light microscopy (middle), and overlay of both (right). Scale bar = 100 μm. B, Representative raw traces of fractional shortening (percent change in cell length, shown as downward deflection, top) and original traces of representative [Ca²⁺] transients (shown as upward deflection, bottom) of (from left to right) sham (n = 39), HF/saline (n = 50), HF/GFP (n = 41), HF/S100A1-nongreen (n = 29), HF/S100A1-green (n = 22), HF/GFP-metoprolol (n = 49), HF/S100A1-metoprolol nongreen (n = 27), and HF/S100A1-metoprolol green (n = 24). C, Percentage of cell shortening (%FS). D, Ca²⁺ amplitude (340/380-nm ratio), (E) rate of cell shortening (dL/dt), and (F) rate of cell relengthening (dL/dt). Cells were isolated from 3 different rats per group. Measurements in AAV6/S100A1 and AAV6/S100A1 + metoprolol were taken from both green (infected) and nongreen (noninfected) myocytes. Data are presented as mean ± SEM. To address multiple observations per animal (nongreen versus green cells from the same animal and within the same group), we used a mixed effects model with random intercept for each animal to account for the repeated measurements. The statistical significance of each effect was then determined based on Wald statistics derived from the mixed-effects models. *P < 0.05 vs HF/saline, HF/GFP, or HF/GFP-β-blocker; #P < 0.05 vs sham.
mRNA expression was increased 3-fold in isolated cardio-
lung, liver, testis, and skeletal muscle, whereas S100A1
rAAV6 vector containing CMV-GFP; indeed, GFP expres-
sion was confirmed by including a second transgene cassette in this
vivo experiment after in vivo intracoronary delivery. Tissue selectivity was
a sequence from the proximal enhancer region of the
S100A1-mediated gene delivery led to functional recovery of the
failing rat heart seen globally with increased percent ejection
fraction and dp/dt and lower EDP; HF rescue also was seen
in individual ventricular cardiomyocytes. Moreover, enhanced
contractile function of S100A1-treated failing hearts
was preserved under maximal β-blocker stimulation, fur-
ther demonstrating the therapeutic potential of S100A1. Im-
portantly, S100A1 is reduced in the failing rat heart,13 consistent
with human HF,16 and restoration to the supranormal levels
responsible for the rescue seen in this study reveals a critical
role for this protein in Ca2+-dependent cardiac regulation and
function. These findings are in line with our recent data
generated from S100A1 knockout mice demonstrating that
the loss of S100A1 protein contributes significantly to the
progressive deterioration of cardiac function in post-MI HF,
whereas preservation of cardiac S100A1 protein is
protective.12
Functional recovery of myocardial function in AAV6/
S100A1-treated HF rats was accompanied by mitigated LV
chamber dilatation and cardiac hypertrophy. These data are in
line with previous findings13 and might be explained in
multiple ways. First, there may be an indirect effect resulting
from enhanced contractile function of the heart; thus, a
reduced biomechanical overload may result in a reverse
remodeling situation. Additionally, improved [Ca2+]i
handling in failing cardiomyocytes resulting from rAAV6-
S100A1 treatment might beneficially affect myocardial apop-
tosis, hypertrophy, or gene expression by modulating
various Ca2+-dependent signaling pathways involving cal-
cineurin, calcmodulin kinase, or protein kinase C isoforms (α,
β, γ).23 Alternatively, because the loss of S100A1 has
previously been shown to be permissive for the induction of
genes involved in cardiac hypertrophy,12,13,24 increased
S100A1 levels may more directly influence the silencing of
these genes, leading to attenuation of hypertrophy and ac-
 companied dilatation. Regardless of the mechanism, it is
apparent that enhanced expression of S100A1 induces these
beneficial effects chronically on the failing heart.
An interesting finding at the myocyte level was that when
only rAAV6-S100A1–infected cardiomyocytes (green cells)
were studied, a complete restoration of [Ca2+]i transients
occurred, and contractile parameters as S100A1-treated HF
myocytes had similar values to healthy cells 8 weeks after
gene therapy. Moreover, data from isolated cardiomyocytes
also reveal a potential indirect therapeutic effect of cardiac
S100A1 gene delivery on cells that were not infected in vivo
Discussion
The data presented above demonstrate the use of a novel
rAAV6 vector containing a cardioselective promoter to sup-
port chronic therapeutic gene expression in the failing heart.
Using this novel vector in a previously described chronic
post-MI rat HF model,13 we show that cardioselective long-
term S100A1 gene therapy can reverse global in vivo cardiac
dysfunction and attenuate LV remodeling. Notably, improved
function in HF after cardioselective S100A1 treatment re-
mained at least 8 weeks after in vivo gene delivery, providing
evidence for a sustained therapeutic effect. This finding is in
line with previous results providing proof of concept for
effective adeno virus-mediated S100A1 gene therapy of HF,
supporting S100A1 as a novel therapeutic target for HF.13
Moreover, S100A1-mediated recovery of functional prop-
ties of failing myocardium was preserved with additional
pharmacological βAR-blocker treatment. Thus, S100A1 gene
addition could represent a viable future clinical approach for
HF treatment and add to existing drug treatment.
Our choice for directing cardioselective gene expression
was a sequence from the proximal enhancer region of the
α-cardiac actin gene. Previously, this region that contains a
MEF2 sequence was shown to direct heart but not skeletal
muscle expression.18 A second MEF2 site was added to
potentially drive stronger gene expression in the heart. As our
results show, the enhancer element cloned in front of the
EF1α promoter produces robust expression of S100A1 that
was specifically localized to the normal and failing rat heart
after in vivo intracoronary delivery. Tissue selectivity was
confirmed by including a second transgene cassette in this
rAAV6 vector containing CMV-GFP; indeed, GFP expres-
sion was found in several extracardiac sites after intracor-
oney delivery. We excluded that posttranscriptional regulation
limits S100A1 expression to cardiac tissue because S100A1
mRNA overexpression was not present in organs such as
lung, liver, testis, and skeletal muscle, whereas S100A1
mRNA expression was increased 3-fold in isolated cardio-
myocytes from rAAV6-S100A1–treated HF rats (data not
shown). To the best of our knowledge, this is the first
demonstration of long-term, myocardium-specific gene ex-
pression after in vivo gene delivery and represents the use of
a vector that, after being tested in larger animal models, could
have potential clinical utility.
In our HF model, rats were found to have significant LV
dysfunction and remodeling at 10 weeks after MI, which was
in line with previous results13 and similar in all randomized
treatment groups. Consistent with clinical HF develop-
ment,20–22 cardiac contractile function deteriorated further
over the 2-month treatment period in control groups. How-
ever, S100A1 enhancement after cardioselective AAV6-
mediated gene delivery led to functional recovery of the
failing rat heart seen globally with increased percent ejection
fraction and dp/dt and lower EDP; HF rescue also was seen
in individual ventricular cardiomyocytes. Moreover, enhanced
contractile function of S100A1-treated failing hearts
was preserved under maximal βAR stimulation, further
demonstrating the therapeutic potential of S100A1. Im-
portantly, S100A1 is reduced in the failing rat heart,13 consistent
with human HF,16 and restoration to the supranormal levels
responsible for the rescue seen in this study reveals a critical
role for this protein in Ca2+-dependent cardiac regulation and
function. These findings are in line with our recent data
generated from S100A1 knockout mice demonstrating that
the loss of S100A1 protein contributes significantly to the
progressive deterioration of cardiac function in post-MI HF,
whereas preservation of cardiac S100A1 protein is
protective.12
Functional recovery of myocardial function in AAV6/
S100A1-treated HF rats was accompanied by mitigated LV
chamber dilatation and cardiac hypertrophy. These data are in
line with previous findings13 and might be explained in
multiple ways. First, there may be an indirect effect resulting
from enhanced contractile function of the heart; thus, a
reduced biomechanical overload may result in a reverse
remodeling situation. Additionally, improved [Ca2+]i
handling in failing cardiomyocytes resulting from rAAV6-
S100A1 treatment might beneficially affect myocardial apop-
tosis, hypertrophy, or gene expression by modulating
various Ca2+-dependent signaling pathways involving cal-
cineurin, calcmodulin kinase, or protein kinase C isoforms (α,
β, γ).23 Alternatively, because the loss of S100A1 has
previously been shown to be permissive for the induction of
genes involved in cardiac hypertrophy,12,13,24 increased
S100A1 levels may more directly influence the silencing of
these genes, leading to attenuation of hypertrophy and ac-
 companied dilatation. Regardless of the mechanism, it is
apparent that enhanced expression of S100A1 induces these
beneficial effects chronically on the failing heart.
An interesting finding at the myocyte level was that when
only rAAV6-S100A1–infected cardiomyocytes (green cells)
were studied, a complete restoration of [Ca2+]i transients
occurred, and contractile parameters as S100A1-treated HF
myocytes had similar values to healthy cells 8 weeks after
gene therapy. Moreover, data from isolated cardiomyocytes
also reveal a potential indirect therapeutic effect of cardiac
S100A1 gene delivery on cells that were not infected in vivo

5C), the amplitude of the [Ca2+]i transient (Figure 5D), the
rate of myocyte shortening (−dL/dt; Figure 5E), and the rate of
myocyte relengthening (dL/dt; Figure 5F) compared with
myocytes isolated from sham hearts. Metoprolol treatment
alone did not affect contractile properties of isolated failing
myocytes under our conditions because [Ca2+]i, transients,
percent fractional shortening, dL/dt, and −dL/dt were similar
to the HF/saline and HF/GFP groups (Figure 5C through 5F).
Data using only infected cells (green) from AAV6/S100A1-
treated HF rats showed that S100A1 overexpression com-
pletely rescues myocyte dysfunction because contractile pa-
rameters and [Ca2+]i transients were similar in these HF cells
compared with nonfailing myocytes (Figure 5C through 5F).
Furthermore, the therapeutic effect on isolated myocytes from
AAV6/S100A1 gene therapy in HF was preserved under
additional β-blocker administration (Figure 5C through 5F).
Interestingly, noninfected cardiomyocytes (nongreen) ob-
tained from AAV6/S100A1-treated rats showed a trend to-
w ard improved contractile properties and [Ca2+]i transients
compared with the HF/saline, HF/GFP, and HF/GFP–β-
blocker groups (Figure 5C through 5F).
because these non-GFP myocytes displayed a trend toward increased functional properties. This is especially interesting because functional recovery of the failing heart globally was achieved despite inhomogeneous gene delivery being in line with previous studies.\textsuperscript{13,17a} Our data using GFP expression in myocytes showed \textasciitilde40% infection rate; thus, there may be an indirect effect of S100A1-overexpressing myocytes to improve the function of neighboring myocytes. Furthermore, the reduced wall stress and regression of maladaptive hypertrophy may allow noninfected myocytes to recover on their own. Alternatively, in vivo AAV6/S100A1 gene delivery might be underestimated by GFP coexpression because the brightness of GFP fluorescence varied substantially between isolated myocytes (data not shown), or GFP expression might not fully match S100A1 overexpression levels because 2 different promoters were used to drive S100A1 and GFP expression.

To increase potential clinical relevance, we added a \(\beta\)AR-blocker component. Metoprolol administration in HF significantly attenuated LV remodeling, reduced cardiac hypertrophy, lowered EDP, and prevented further deterioration of cardiac function in HF. However, \(\beta\)AR-blocker treatment did not affect functional properties of isolated failing cardiomyocytes and failed to recover functional properties of in vivo global cardiac function under our conditions. These findings are in line with observations in several rodent HF and post-MI models showing that selective \(\beta_1\)-blockade attenuates post-MI structural remodeling without concomitant improvement in myocardial function.\textsuperscript{25–27}

Clinical studies such as the Metoprolol Controlled-Release Randomized Intervention Trial in Heart Failure (MERIT-HF) have proved that \(\beta\)-blocker therapy in patients with HF not only can attenuate pathological remodeling of the heart but also may actually improve patient outcomes.\textsuperscript{28,29} Therefore, preservation of S100A1-mediated positive inotropic effects under \(\beta\)-blocker treatment, as observed in this study, suggests potentially additive action of both strategies and supports a potential future clinical application of S100A1 gene therapy in HF, which could become safer with a cardioselective approach like that described here.

Because short-term in vivo strategies already proved therapeutic effects of myocardial S100A1 gene delivery in both acute MI and in overt HF,\textsuperscript{13,17a} a potential goal of the present study was to investigate long-term actions of S100A1 in HF. This is especially important because cytosolic Ca\textsuperscript{2+} overload and excess cAMP generation under chronic pharmacological inotropic treatment in HF are associated with increased mortality.\textsuperscript{30,31} Long-term S100A1 gene therapy resulted in recovery of contractile function in HF, which was mediated, at least largely, by rescued intracellular Ca\textsuperscript{2+} turnover and SR Ca\textsuperscript{2+} cycling mechanistically similar to adenoviral S100A1 gene therapy.\textsuperscript{13,17a} Importantly, S100A1 protein can decrease Ca\textsuperscript{2+} spark activity in ventricular cardiomyocytes under diastolic conditions, which might contribute to attenuate detrimental diastolic Ca\textsuperscript{2+} overload and SR Ca\textsuperscript{2+} leakage in HF through S100A1.\textsuperscript{12,13,32} Therefore, targeting both ryanodine receptor open probability and SERCA2a activity by S100A1\textsuperscript{12–14,32} might be advantageous compared with solely increasing SERCA2a function, which can result in impaired survival in response to ischemic events.\textsuperscript{33}

To summarize, our study shows that long-term in vivo cardioselective S100A1 gene therapy is feasible with the \(\alpha\)-cardiac actin enhancer/EF1\(\alpha\) promoter in a rAAV6 vector and that it is indeed therapeutic. Moreover, effects of S100A1 gene therapy in HF are preserved under \(\beta\)-blocker treatment in vivo and indicate that both treatment strategies might be additive in HF.

**Sources of Funding**

This research was supported in part by grants from the Deutsche Forschungsgemeinschaft (Mo 1066/1–1 to Dr Most, 1083/1–1 to Dr Remppis), Bundesministerium für Bildung und Forschung (01GU0527 to Dr Most), Pennsylvania–Delaware Affiliate of the American Heart Association (fellowship to Dr Pledger), Lilly-Stipendium of the Deutsche Gesellschaft für Kardiologie (Dr Pledger), American Heart Association (scientist development grant STG 053 0127N to Dr Rabinowitz), and the National Institutes of Health (R01 HL56205 and P01 HL075443-project 2 to Dr Koch, W.W. Smith Professor of Medicine).

**Disclosures**

Drs Katus, Koch, Remppis, Most, Rabinowitz, and Pledger have a patent pending on the use of S100A1 in treating heart disease and on the use of the \(\alpha\)-cardiac actin enhancer/EF1\(\alpha\) promoter. The other authors report no conflicts.

**References**

11a. Most P, Remppis A, Pleger ST, Katus HA, Koch WJ. S100A1: a novel inotropic regulator of cardiac performance: transition from molecular...
In the present study, we provide proof of concept for the long-term therapeutic effectiveness of cardiac S100A1 gene therapy in the context of a clinically relevant experimental model of overt heart failure. Taking advantage of adeno-associated virus serotype 6 gene transfer in combination with a novel cardiomyocyte-specific enhancer/promoter, cardiac S100A1 gene delivery restored contractile performance and reversed cardiac remodeling of chronically failing hearts. This translational approach stems from the observation of diminished S100A1 protein levels in failing human myocardium and underscores the significant therapeutic potential of S100A1. Given the fact that S100A1-mediated therapeutic effects in our study lasted for months without detrimental effects, it is important to point out that the inotropic molecular support conveyed through S100A1 does not rely on a-cardiac actin gene transcription in the mouse adult heart. J Biol Chem. 2004;279:55651–55658.


23. Deleted in proof.

CLINICAL PERSPECTIVE

15. Deleted in proof.
18. Deleted in proof.
Stable Myocardial-Specific AAV6-S100A1 Gene Therapy Results in Chronic Functional Heart Failure Rescue


_Circulation_. 2007;115:2506-2515; originally published online April 30, 2007;
doi: 10.1161/CIRCULATIONAHA.106.671701

_Circulation_ is published by the American Heart Association, 7272 Greenville Avenue, Dallas, TX 75231
Copyright © 2007 American Heart Association, Inc. All rights reserved.
Print ISSN: 0009-7322. Online ISSN: 1524-4539

The online version of this article, along with updated information and services, is located on the World Wide Web at:
http://circ.ahajournals.org/content/115/19/2506

Data Supplement (unedited) at:
http://circ.ahajournals.org/content/suppl/2007/04/27/CIRCULATIONAHA.106.671701.DC1

Permissions: Requests for permissions to reproduce figures, tables, or portions of articles originally published in *Circulation* can be obtained via RightsLink, a service of the Copyright Clearance Center, not the Editorial Office. Once the online version of the published article for which permission is being requested is located, click Request Permissions in the middle column of the Web page under Services. Further information about this process is available in the Permissions and Rights Question and Answer document.

Reprints: Information about reprints can be found online at:
http://www.lww.com/reprints

Subscriptions: Information about subscribing to *Circulation* is online at:
http://circ.ahajournals.org//subscriptions/