Immunomodulating Therapy With Intravenous Immunoglobulin in Patients With Chronic Heart Failure

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**Background**—Congestive heart failure (CHF) is characterized by enhanced immune activation, and immune-mediated mechanisms may play a pathogenic role in this disorder. Based on the immunomodulatory effects of intravenous immunoglobulin (IVIG), we hypothesized that IVIG could downregulate inflammatory responses in CHF patients and have potential beneficial effects on the left ventricular ejection fraction (LVEF).

**Methods and Results**—Forty patients with chronic symptomatic CHF and LVEF of <40%, stratified according to cause (ie, ischemic and idiopathic dilated cardiomyopathy), were randomized in a double-blind fashion to receive therapy with IVIG or placebo for a total period of 26 weeks. Our main findings were that (1) IVIG, but not placebo, induced a marked rise in plasma levels of the anti-inflammatory mediators interleukin (IL)-10, IL-1 receptor antagonist, and soluble tumor necrosis factor receptors; (2) significantly correlated with these anti-inflammatory effects, IVIG, but not placebo, induced a significant increase in LVEF from 26±2% to 31±3% (P<0.01), and this was found independent of the cause of heart failure; and (3) N-terminal pro–atrial natriuretic peptide decreased significantly after induction therapy and continued to decrease toward the end of study during IVIG therapy (P<0.001) but remained unchanged during placebo.

**Conclusions**—We demonstrated an IVIG-induced change in the balance between inflammatory and anti-inflammatory cytokines that favored an anti-inflammatory net effect in CHF. This effect was significantly correlated with an improvement in LVEF, suggesting a potential for immunomodulating therapy in addition to optimal conventional cardiovascular treatment regimens in CHF patients. (Circulation. 2001;103:220-225.)

**Key Words:** heart failure ■ inflammation ■ interleukins ■ immune system

Several studies have demonstrated that patients with congestive heart failure (CHF) are characterized by a sustained immune activation. This is reflected in increased circulating levels of inflammatory cytokines (eg, tumor necrosis factor [TNF]-α, interleukin [IL]-1) and enhanced expression of various inflammatory mediators within the failing myocardium (eg, adhesion molecules and TNF-α), independent of the cause of CHF.4

Increasing evidence suggests that inflammatory mediators (eg, TNF-α and IL-1) not only are markers of immune activation but may also induce myocardial dysfunction via various mechanisms, such as the regulation of apoptosis and impaired β-adrenergic responsiveness.5–7 Notably, the infusion of TNF-α in concentrations comparable to the circulating levels in CHF may promote left ventricular dysfunction in rats.8

Therapy with intravenous immunoglobulin (IVIG) has been tried in a wide range of immune-mediated disorders, such as Kawasaki’s syndrome, dermatomyositis, and multiple sclerosis.9,10 One non–placebo-controlled study has also suggested a beneficial effect of IVIG in acute cardiomyopathy.11 Several modes of action may be of importance for the clinical effects of IVIG in inflammatory disorders (eg, neutralization of microbial antigens and autoantibodies, Fc-receptor blockade, and complement inactivation).9,12,13 Moreover, we and others have demonstrated that IVIG may also influence the level of several cytokines and cytokine modulators, resulting in the downregulation of inflammatory responses.14,15

On the basis of the immunomodulatory effects of IVIG, we examined in the present pilot study whether IVIG could downregulate inflammatory responses in CHF patients. We also examined whether any potential modulation of the cytokine network after IVIG therapy was associated with any changes in left ventricular ejection fraction (LVEF). Patients with chronic CHF, due to either ischemic or idiopathic dilated cardiomyopa-
Forty patients with chronic stable CHF for 6 months were included in the study (Table 1). The patients were included if they (1) were classified in NYHA functional class II/III, (2) had an LVEF of <40%, (3) had no changes in medication during the past 3 months, and (4) were receiving optimal medical treatment and considered unsuitable for surgical intervention. Patients were not included if they had (1) evidence of myocardial infarction or unstable angina during the past 6 months or (2) significant concomitant diseases such as infections, pulmonary disorders, or connective tissue disease. None of the patients changed the conventional cardiovascular treatment regimen during the study.

The cause of CHF was classified as coronary artery disease (CAD, n = 23) or IDCM (n = 17) on the basis of disease history and coronary angiographic examination. The regional ethical committee approved the study, and signed informed consent was obtained from each patient.

**IVIG Preparation**
Octagam (Octapharma), which was produced from fresh frozen plasma collected from Norwegian blood banks, is dispensed in sterile water (Octagam, Octapharma), which was produced from fresh frozen plasma. Antibody preparations contained significant levels of neutralizing, high-affinity antibodies against IL-1β, IL-1 receptor antagonist (IL-1Ra), TNF-α, soluble TNF receptors (sTNFRs), or IL-10 in the IVIG product. However, the IVIG preparation was considered to be the most important.

**Test Protocol**
At baseline and at the end of study, the following tests were performed: (1) immunological variables (see later) and (2) LVEF, assessed with ECG-synchronized gated radionuclide ventriculography at rest. Autologous erythrocytes were labeled with 800 MBq ⁹⁹mTc-pertechnetate according to a combined in vivo/in vitro technique as previously described. One physician performed all LVEF measurements in a blinded fashion. At our laboratory, the standard deviation of this method was found to be 2.1% EF%, giving a coefficient of variation of 6% for LVEF values close to 30 EF%. Right ventricular ejection fraction (RVEF) was determined according to the same method, as described earlier. Hemodynamic variables were assessed with right-sided heart catheterization.

**Exercise testing** was performed with an electrically braked bicycle ergometer. The test protocol consisted of a starting work rate of 20 W increasing by 20 W every other minute until exhaustion (defined as an inability to keep the pedaling rate steady at 60 rpm). Oxygen uptake (V̇O₂) was measured with the EOS/SPRINT system. Peak V̇O₂ was taken as the highest V̇O₂ value observed. Clinical evaluation was assessed on the basis of NYHA classification as determined by 1 cardiologist, and the McMaster Overall Treatment Evaluation questionnaire was used to assess quality of life. Plasma levels of N-terminal pro–atrial natriuretic peptide (NT-pro-ANP) were measured (see later).

**Blood Sampling Protocol**
Blood samples were collected into pyrogen-free EDTA tubes during right-sided heart catheterization from the pulmonary artery (mixed venous blood). The tubes were immediately immersed in melting ice and centrifuged within 15 minutes at 1000g for 15 minutes, and plasma was stored at −80°C until analysis. Samples were thawed only once.

**Laboratory Analyses**
TNF-α and IL-10 were measured with ELAs ( Biosource Internationale). sTNFRs p55 and p75 were analyzed with ELAs as described previously. IL-1Ra and IL-1β were examined with ELAs, obtained from R&D Systems. NT-pro-ANP was measured with radioimmunoassay. The coefficients of variation were <10% for all assays.

**Statistical Analyses**
Differences between groups were compared with Student’s t-test or Mann-Whitney U rank sum test for unpaired data. The Wilcoxon signed rank test was used for paired data. When analyzing variables from >2 time points (ie, NT-pro-ANP), repeated measures ANOVA was used. For comparison of proportions, the χ² test was used. Relations between variables were tested using Spearman’s rank correlation test. The results are given as mean±SEM, whereas the changes in variables after treatment are expressed as mean values with associated 95% CI if not otherwise stated. The probability values are 2-sided and taken as significant at <0.05.

**Results**
Key demographic and hemodynamic parameters were similar in the 2 treatment groups (Table 1). Moreover, at baseline there were no significant differences in the levels of cytokines.

### TABLE 1. Clinical Characteristics of the CHF Patients Who Participated in the Study

<table>
<thead>
<tr>
<th></th>
<th>IVIG (n=20)</th>
<th>Placebo (n=20)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age, y</td>
<td>60±1.3</td>
<td>61±2.0</td>
</tr>
<tr>
<td>Sex, M/F</td>
<td>18/2</td>
<td>15/5</td>
</tr>
<tr>
<td>Body mass index, kg/m²</td>
<td>26.5±0.8</td>
<td>26.1±0.8</td>
</tr>
<tr>
<td>Cause of heart failure, n (%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CAD</td>
<td>11 (55%)</td>
<td>12 (60%)</td>
</tr>
<tr>
<td>IDCM</td>
<td>9 (45%)</td>
<td>8 (40%)</td>
</tr>
<tr>
<td>Duration of heart failure, y</td>
<td>3.7±0.5</td>
<td>3.4±0.4</td>
</tr>
<tr>
<td>Medication, n (%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ACE inhibitors</td>
<td>19 (95%)</td>
<td>18 (90%)</td>
</tr>
<tr>
<td>Angiotensin II blockers</td>
<td>1 (5)</td>
<td>2 (10)</td>
</tr>
<tr>
<td>Diuretics</td>
<td>17 (85%)</td>
<td>19 (95%)</td>
</tr>
<tr>
<td>β-Blockers</td>
<td>17 (85%)</td>
<td>13 (65%)</td>
</tr>
<tr>
<td>Digitoxin</td>
<td>7 (35%)</td>
<td>9 (45%)</td>
</tr>
</tbody>
</table>

Values are given as mean±SEM.
between the placebo and the IVIG groups (Table 2). One patient in the IVIG group was withdrawn by a local physician 3 weeks after baseline due to paroxysmal atrial fibrillation.

**Immunological Parameters**

IL-1β increased significantly in the placebo, but not in the IVIG, group (Table 2). Simultaneously, IVIG, but not placebo, induced a marked increase in IL-1Ra levels (>57%), accompanied by a marked increase in IL-10 levels (>65%) (Table 2). Finally, although TNF-α tended to decrease after IVIG and increase after placebo treatment, IVIG, but not placebo, induced a rise in soluble p55-TNFR (>15%) and, in particular, soluble p75-TNFR (>65%) (Table 2). Thus, it appears that IVIG had a profound anti-inflammatory effect in CHF patients as reflected in enhanced levels of IL-10, IL-1Ra, and sTNFRs, as well as decreased levels of IL-1β/IL-1Ra and TNF-α/sTNFRs ratios. In contrast, no or even opposite changes were seen after placebo treatment, resulting in significant differences between the 2 groups for several of these parameters (Table 2).

**Ventricular Function**

LVEF increased significantly by 5 EF units after IVIG, whereas no significant change was seen in the placebo group (Figure 1, Table 3). Moreover, of the 19 CHF patients who received IVIG, 14 demonstrated an increase in LVEF, and 10 of these improved by >5 EF units. In contrast, only 4 of the patients in the placebo group showed a comparable improvement in LVEF (>5 U) (P<0.05 vs IVIG). No beneficial effect of IVIG was seen in the 4 patients with the lowest LVEF (<15% at baseline, Figure 1). We found no significant correlation between duration of heart failure and either LVEF at baseline or changes in LVEF after IVIG therapy. However, 3 of the 4 patients with no beneficial effect of IVIG had a markedly longer duration of heart failure symptoms (25, 10, and 6 years) compared with the other IVIG-treated patients (median duration of symptoms 2.5 years). The effect of IVIG on LVEF was independent of the cause of heart failure, increasing from 25±3% to 30±4% (P<0.05) in the CAD group and from 26±6% to 31±4% (P<0.05) in the IDCM group, with no changes during placebo in either of these groups. RVEF also improved after IVIG (>6 EF units, P<0.05), with no significant change in the placebo group (Table 3). However, RVEF is difficult to measure accurately, and caution is necessary when interpreting these results.

Notably, in the IVIG, but not in the placebo, group, there was a strong positive correlation between the changes in IL-1Ra, IL-10, and both types of sTNFRs and the change in LVEF (Figure 2). In contrast, the change in IL-1β was negatively correlated with the change in LVEF in both the IVIG and placebo groups (Figure 2).

**Other Clinical and Hemodynamic Variables**

After IVIG, but not after placebo, treatment, hemodynamic variables obtained during right-sided heart catheterization showed a modest but significant decrease in pulmonary capillary wedge pressure and pulmonary artery pressure (Table 3). Moreover, after IVIG therapy, exercise capacity as assessed by peak VO₂ increased by >6% and peak workload increased by >10%, whereas no changes were observed in the placebo group (Table 3). Regarding the peak workload,

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**Table 2. Plasma Levels of Cytokines and Their Endogenous Modulators in 39 CHF Patients Before and After 6 Months of Treatment With IVIG or Placebo**

<table>
<thead>
<tr>
<th></th>
<th>IVIG (n=19)</th>
<th>Placebo (n=20)</th>
<th>Differences in Changes Between Groups</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Baseline</td>
<td>6 mo</td>
<td>Change</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TNF-α, pg/mL</td>
<td>22.1±2.0</td>
<td>19.2±1.8</td>
<td>−2.9 (−7.4 to 1.7)</td>
</tr>
<tr>
<td></td>
<td>26.6±3.0</td>
<td>28.7±4.1</td>
<td>1.5 (−1.7 to 4.6)</td>
</tr>
<tr>
<td>p55-TNFR, ng/mL</td>
<td>1.50±0.09</td>
<td>1.73±0.11</td>
<td>0.22 (0.13 to 0.32)†</td>
</tr>
<tr>
<td></td>
<td>1.66±0.12</td>
<td>1.62±0.10</td>
<td>−0.04 (−0.17 to 0.10)</td>
</tr>
<tr>
<td>p75-TNFR, ng/mL</td>
<td>1.62±0.12</td>
<td>2.69±0.26</td>
<td>1.06 (0.62 to 1.51)†</td>
</tr>
<tr>
<td></td>
<td>1.97±0.18</td>
<td>1.62±0.15</td>
<td>−0.15 (−0.39 to 0.10)</td>
</tr>
<tr>
<td>TNF-α/sTNFRs ratio</td>
<td>625±69</td>
<td>396±45</td>
<td>−229 (−364 to −93)†</td>
</tr>
<tr>
<td></td>
<td>665±93</td>
<td>741±131</td>
<td>60 (−30 to 150)</td>
</tr>
<tr>
<td>IL-1β, pg/mL</td>
<td>3.1±0.2</td>
<td>2.7±0.3</td>
<td>−0.4 (−0.9 to 0.1)</td>
</tr>
<tr>
<td></td>
<td>2.8±0.3</td>
<td>3.1±0.4</td>
<td>0.4 (0.1 to 0.6)*</td>
</tr>
<tr>
<td>IL-1Ra, pg/mL</td>
<td>324±20</td>
<td>509±53</td>
<td>186 (84 to 288)‡</td>
</tr>
<tr>
<td></td>
<td>318±20</td>
<td>294±18</td>
<td>−24 (−54 to 6)</td>
</tr>
<tr>
<td>IL-1β/IL-1Ra ratio</td>
<td>7.9±0.7</td>
<td>4.9±0.7</td>
<td>−3.0 (−4.7 to −1.4)†</td>
</tr>
<tr>
<td></td>
<td>7.2±0.9</td>
<td>9.2±1.4</td>
<td>2.0 (0.4 to 3.6)*</td>
</tr>
<tr>
<td>IL-10, pg/mL</td>
<td>3.1±0.2</td>
<td>5.1±0.5</td>
<td>2.0 (1.3 to 2.8)‡</td>
</tr>
<tr>
<td></td>
<td>2.8±0.2</td>
<td>2.5±0.1</td>
<td>−0.3 (−0.6 to 0.0)</td>
</tr>
</tbody>
</table>

Values are given as mean±SEM at baseline and 6 mo. Changes are given as mean and 95% CI in parentheses. TNF-α/sTNFRs ratio is defined as [TNF-α (pmol/L)/p55 + p75-TNFRs (pmol/L)]×100. IL-1β/IL-1Ra ratio is defined as IL-1β (pmol/L)/IL-1Ra (pmol/L).

*P<0.05, †P<0.01, ‡P<0.001 vs baseline.
there was a significant difference in changes between IVIG and placebo treatment (Table 3). Functional status as assessed by NYHA class improved in the placebo and, in particular, the IVIG group (Table 3). In addition, in a quality-of-life questionnaire, the global estimate of changes demonstrated improvement in both the placebo (40% of patients) and, in particular, the IVIG group (73% of patients).

Nt-pro-ANP
Plasma levels of Nt-pro-ANP, which have been found to correlate with pulmonary artery pressures in CHF and thereby provide important prognostic information for CHF patients, decreased significantly after the induction therapy and continued to decrease toward the end of the study during IVIG therapy (Figure 3). No significant change was observed in the placebo group, resulting in a significant difference between the 2 groups (Table 3, Figure 3).

Side Effects and Laboratory Status
Eight subjects (2 with placebo and 6 with IVIG) had mild discomfort (chills or headache) during the first hours after infusion, 3 patients (all with IVIG) had a rise in temperature (39.0°C) immediately after infusion that persisted for a few hours, and 3 patients (all with IVIG) developed a mild itching rash after infusion that persisted for a few days. None of the patients had to withdraw from the study because of side effects. Blood was collected every month, but no changes in routine hematological parameters, serum creatinine, ASAT,
by guest on October 4, 2017

endothelial cells,12,13,20 and all of these factors may be involved
in the pathogenesis of heart failure. Moreover, autoantibodies
directed against β₁-adrenoceptors may play a pathogenic role
in CHF,21 and anti-idiotypic antibodies in the IVIG preparation
may potentially neutralize such antibodies. Also, IVIG has been
shown to modulate the release of cytokines in phagocytes
through interaction with Fc-receptors on these cells,22 and we
and others have shown that IVIG preparations may markedly
enhance the release of IL-1Ra and sTNFRs from monocytes in
vitro.12,23 Regardless of the mechanisms, our findings that
demonstrate a marked anti-inflammatory outcome of IVIG on
the cytokine network in CHF patients, significantly correlated
with improvement of LVEF, suggest that this capacity to
modulate the cytokine network in CHF patients, significantly correlated
with improvement of LVEF, may be of importance for the
potential beneficial effect of IVIG in CHF. IL-10, IL-1Ra, and
sTNFRs all have anti-inflammatory effects with potential
relevance for the pathogenesis of CHF.3 IL-10 was recently found to
potential beneficial effect of IVIG in CHF patients, favoring
an anti-inflammatory net effect. This effect was significantly
correlated with an improvement in LVEF. Several studies suggest
that inflammatory cytokines are involved in the pathogenesis of CHF.1,2
However, most data that support the “cyto-
kine hypothesis” are obtained through studies in animal models,
in vitro experiments, and cross-sectional studies on cytokine
levels in humans. It has also been reported that 1 dose of soluble
p75-TNFR showed beneficial effect in a small population of
CHF patients,18 that 1 bolus injection of IVIG improved LVEF
in new-onset cardiomyopathy in a non-placebo-controlled
study,11 and that the immunomodulating agent pentoxifylline
enhanced LVEF in a placebo-controlled trial in patients with
IDCM.8 However, the present double-blind, placebo-controlled
study shows for the first time that immunomodulation with IVIG
has the potential to improve LVEF in CHF patients on optimal
conventional cardiovascular treatment regimens. Our findings
add further support to the notion that inflammatory mediators are
involved in the pathogenesis of heart failure and suggest a potential for
immunomodulating therapy in CHF.

A major finding in the present study was the marked rise in
IL-10, IL-1Ra, and sTNFRs levels after IVIG treatment in CHF
patients, resulting in a combined enhancement of several anti-
flammatory mediators. Several non–mutually exclusive modes
of action may explain the beneficial effect of IVIG in immune-
mediated disorders, and some of these may well be operative in
CHF. Thus, IVIG has been found to induce complement inac-
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endothelial cells,12,13,20 and all of these factors may be involved
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have protective effects on the development of atherosclerosis.24
Both IL-1 and TNF-α can impair myocardial performance.5–7

Discussion

The novel and important finding of the present study was a
marked IVIG-induced change in the balance between inflammatory
and anti-inflammatory mediators in CHF patients, favoring
an anti-inflammatory net effect. This effect was significantly
correlated with an improvement in LVEF. Several studies suggest
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sTNFRs all have anti-inflammatory effects with potential
relevance for the pathogenesis of CHF.3 IL-10 was recently found to
have protective effects on the development of atherosclerosis.24
Both IL-1 and TNF-α can impair myocardial performance.5–7

Figure 3. Plasma levels of Nt-pro-ANP, given as percentage
change from baseline, in 39 CHF patients before and at different
time points after initiation of treatment with IVIG (n=19) or pla-
cebo (n=20). Data are given as mean±SEM. **P<0.01, and ***P<0.001 vs baseline. #P<0.05 for comparison
differences in changes between IVIG and placebo groups.
and it is conceivable that inhibition of the effects of these cytokines may be beneficial in CHF. Indeed, soluble p75-TNFR fusion protein is currently under study as a therapeutic agent in CHF.\(^{18}\)

Although the study was not designed to examine changes in myocardial function throughout the study period, we found that IVIG was associated with a decline in NT-ANP after induction therapy, possibly reflecting improved hemodynamic status. In addition, the decline in NT-ANP was most pronounced at the end of the study, suggesting that the effect of IVIG might be even greater during longer follow-up. Furthermore, although the effect of IVIG on LVEF was independent of the cause of CHF, it seems at least in part to depend on the degree of left ventricular dysfunction. Thus, patients with a particularly low LVEF had no beneficial effect of IVIG. Notably, these patients had a markedly longer duration of heart failure symptoms compared with the other IVIG-treated patients, possibly representing a subgroup of CHF with irreversible myocardial damage secondary to longstanding heart failure, inaccessible for immunomodulation.

Based on the possible involvement of autoimmunity- or virus-induced mechanisms, previous studies of IVIG in heart disease have focused on myocarditis and acute cardiomyopathies,\(^ {11,12}\) and the effect of IVIG may not necessarily be similar in chronic CHF. However, we found improved LVEF in both idiopathic dilated and ischemic cardiomyopathy. Infections with certain microbes have recently been suggested to be involved in the pathogenesis of atherosclerosis,\(^ {20}\) and we cannot exclude the possibility that the effect of IVIG in CHF is related to neutralization of microbial antigens, superantigens, or heat-shock protein related to these microbes.\(^ {12,13}\) However, the “cytokine hypothesis” is not dependent on the “infectious hypothesis,” and several other factors that operate in ischemic heart disease, such as enhanced oxidative and fluid shear stress and oxidized LDL,\(^ {27,28}\) may all induce inflammatory responses within the myocardium.

Although the present pilot study clearly shows that IVIG in CHF patients can modulate the cytokine network in an anti-inflammatory direction, a small number of patient were studied and more caution is needed when interpreting the effect of IVIG on hemodynamic and clinical variables. The present results suggest a potential for IVIG or other immunomodulating therapy in addition to optimal conventional cardiovascular treatment regimens in CHF, but the improvements in LVEF and exercise performance in patients treated with IVIG were small at best. These preliminary observations should be confirmed in a larger subset of patients that also examines the effect on morbidity and mortality rates.

Acknowledgments

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References

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